

The imperatives of in silico evidence in the digital era

Artificial Intelligence for Regulations,
Computational Modelling and Simulations
or Model-Informed Evidence?

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CEiRSI Centre of Excellence on in-silico
Regulatory Science & Innovation



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You are viewing the poll results (shared by host)

What do we know?

1. Have you heard of ISTs? (Single choice)

Yes (7/11)...



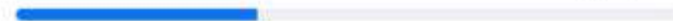
No (4/11)...



You did not answer this question

2. Have you utilised ISTs? (Single choice)

Yes (4/11)...



No (7/11)...



You did not answer this question

Close

Driving Questions

1. How do we continue to improve the safety, quality, efficacy, and durability of products across geographies?
2. How do we manage the risks and opportunities associated with the pace of technologies?
3. How do we help our individual regulators have confidence when engaging technologies in the regulatory review process?

Outline

- The Imperatives to Act Now
- The Vision: CM&S aka “in silico”
- The Science: Exemplars
- Ready for Prime Time?
- Computational Model Credibility
- A Call for Action

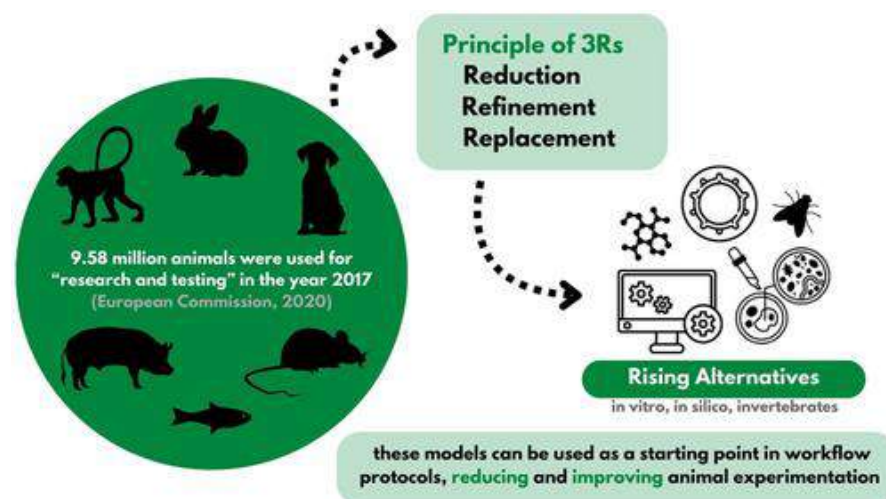
The Consequences of Inaction

THE IMPERATIVES

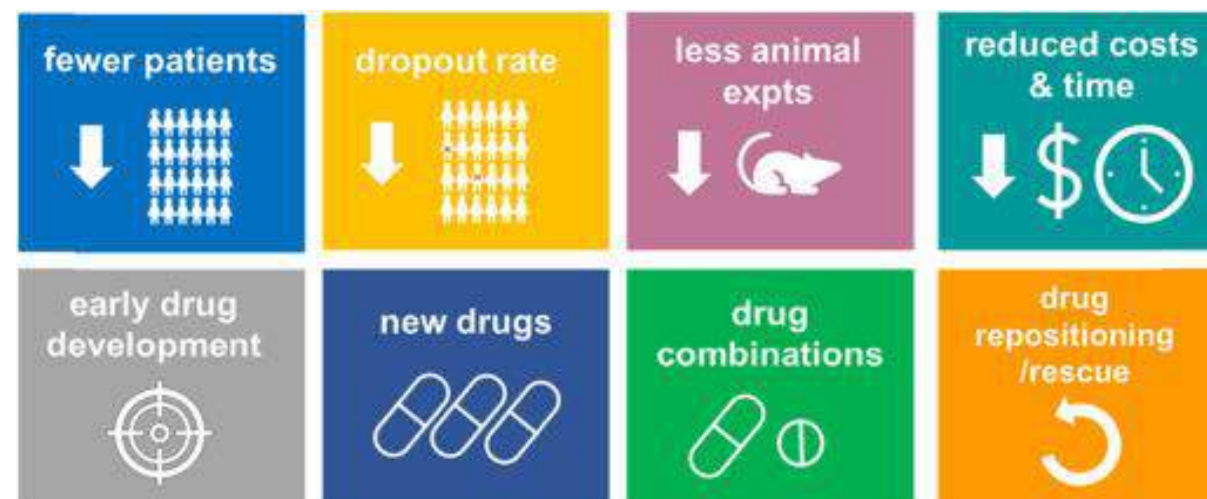
A Holistic and Market Failure Approach to Ethical & Innovative Medical Development



- **Ethical Imperatives:** Reducing animal testing across the entire product lifecycle, aligning with broader ethical standards.
- **Innovative Synergy:** Reducing animal testing can simultaneously reduce patient harm, business risks, and costs.
- **Accelerated Access:** Approaches speeding up the delivery of lifesaving therapies and interventions.



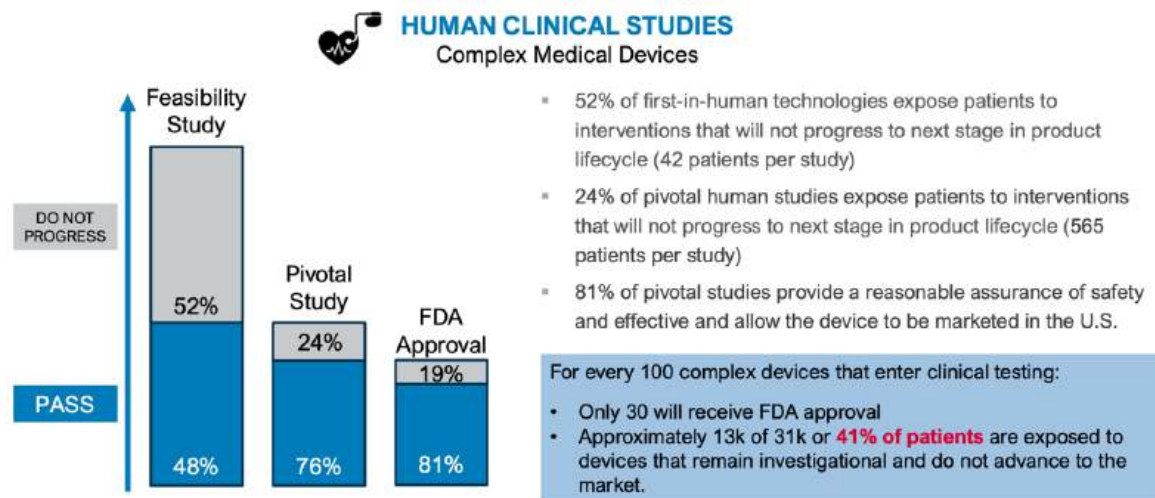
Guimarães AI. Are Animal Models Necessary? Exploring (Dis)advantages and Alternatives. Eur J Neurosci. 2025 Jan;61(1):e16651. doi: 10.1111/ejn.16651.



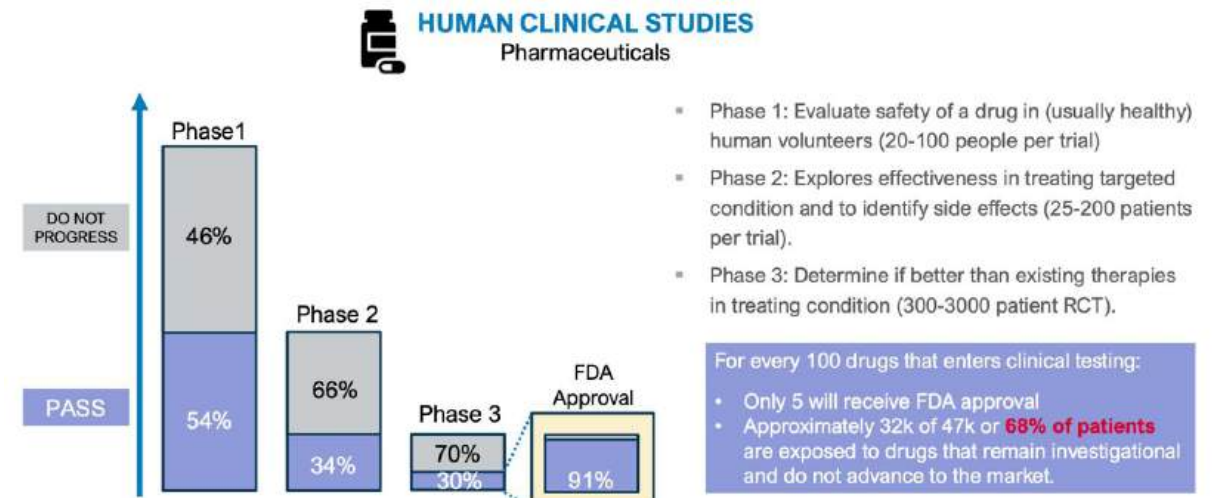
Ogilvie LA, Rieke DT, Lehrach H. A vision: in silico clinical trials without patients. In: Schüler P, editor. Innovation in Clinical Trial Methodologies. Academic Press; 2021. p. 39-48. doi: 10.1016/B978-0-12-824490-6.00020-7.

The Ethical & Safety Imperative

Minimise Patient Exposure to Unproven Therapies



(Adapted from Serkaya, et al., JAMA Network Open, 2022)



(<https://www.trialssearch.com/clinical-trials/phases>)

Courtesy of Dr Tina Morrison (FDA) and Dr Mark Palmer (ANSYS)

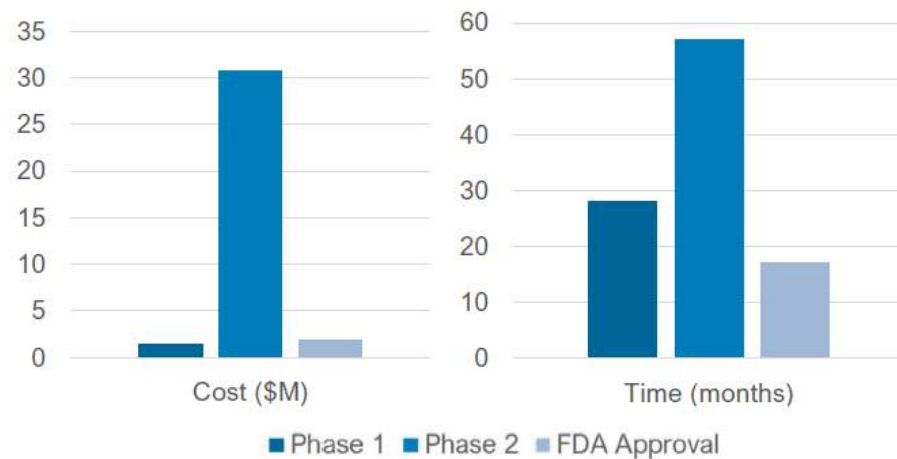
The Economic & Financial Imperative

Sustainable care and affordable innovation



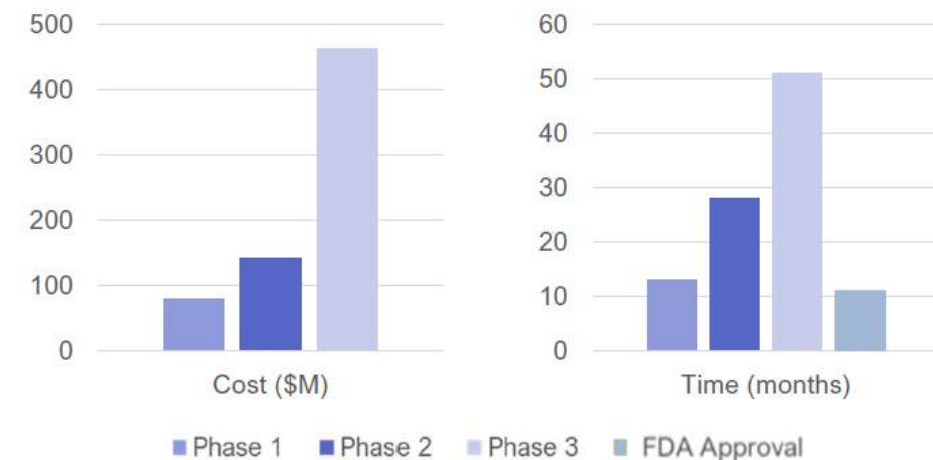
Complex Medical Devices:

- Average cost from discovery to launch continues to increase
- Average cost to successfully deliver an asset to market is \$0.52B
- Range in cost varies from \$0.205B to \$3.4B
- Average total time is 13 years



Pharmaceuticals:

- Average cost from discovery to launch continues to increase
- Average cost to successfully deliver an asset to market is \$2.3B
- Range in cost varies from \$0.74B to \$6.7B
- Total time is 14-16 years



Courtesy of Dr Tina Morrison (FDA) and Dr Mark Palmer (ANSYS)

WHAT IF....

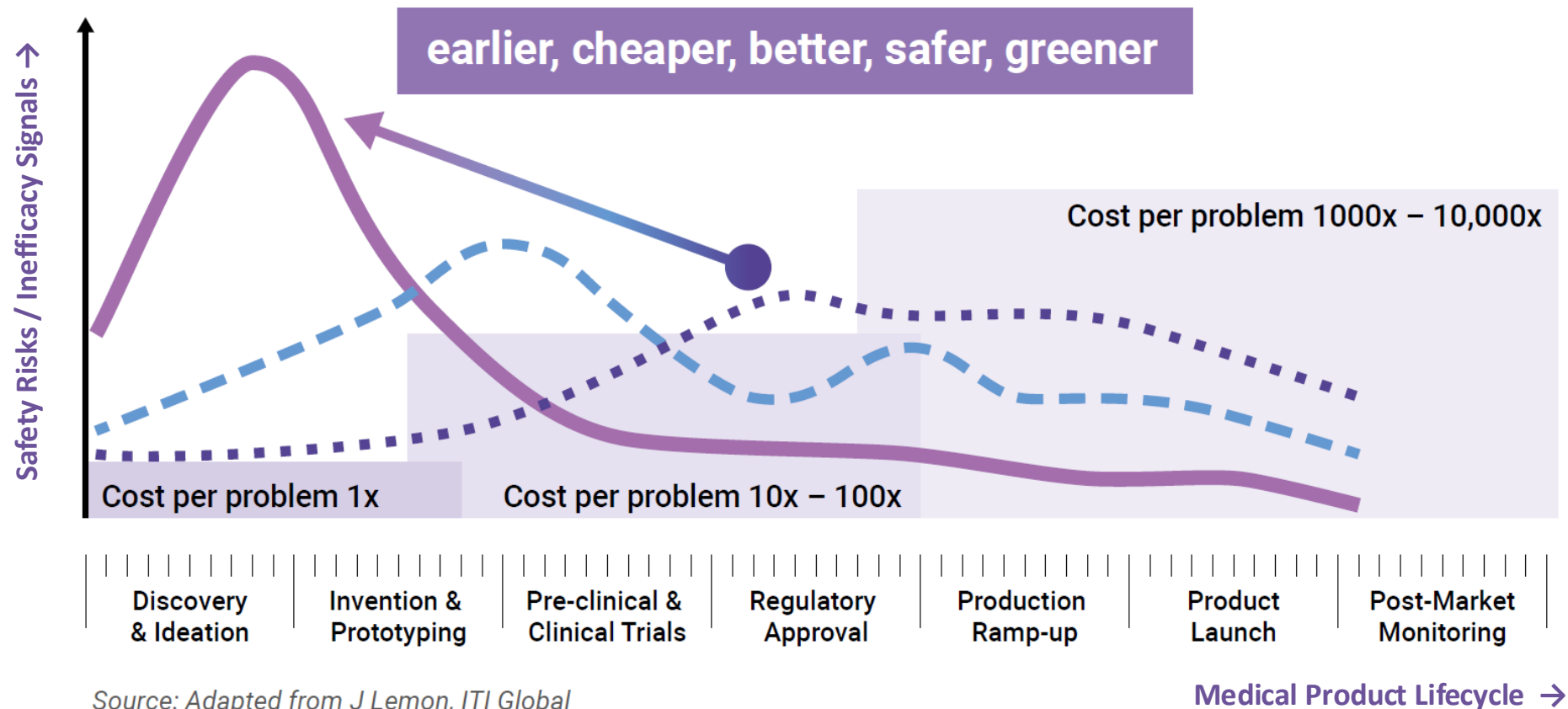
- ☐ We build credible models that surface hidden insights and predict post-market failures early.
- ☐ We then optimise designs to prevent or minimise those failures.
- ☐ Human studies become confirmatory—verifying safety and performance, not estimating them.

The vision

IN SILICO EVIDENCE

The Innovation Imperative

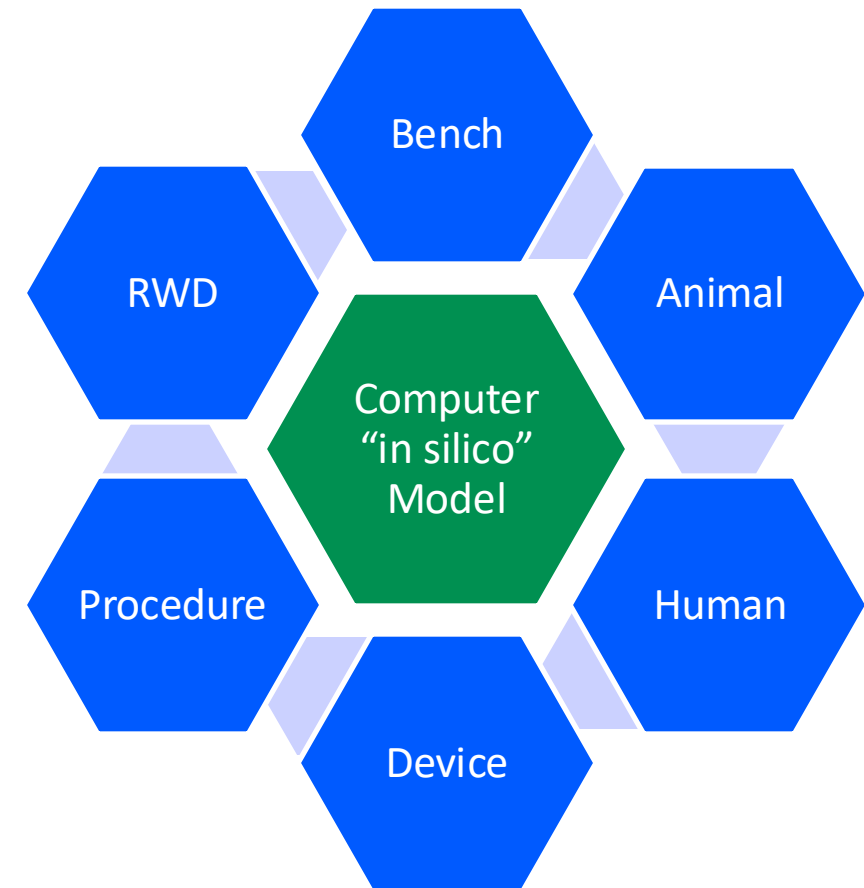
Better Products, Less Failure, Reduced Time to Revenue



Modelling and simulation is a technology integrator

The foundation of 21st-century design and manufacturing

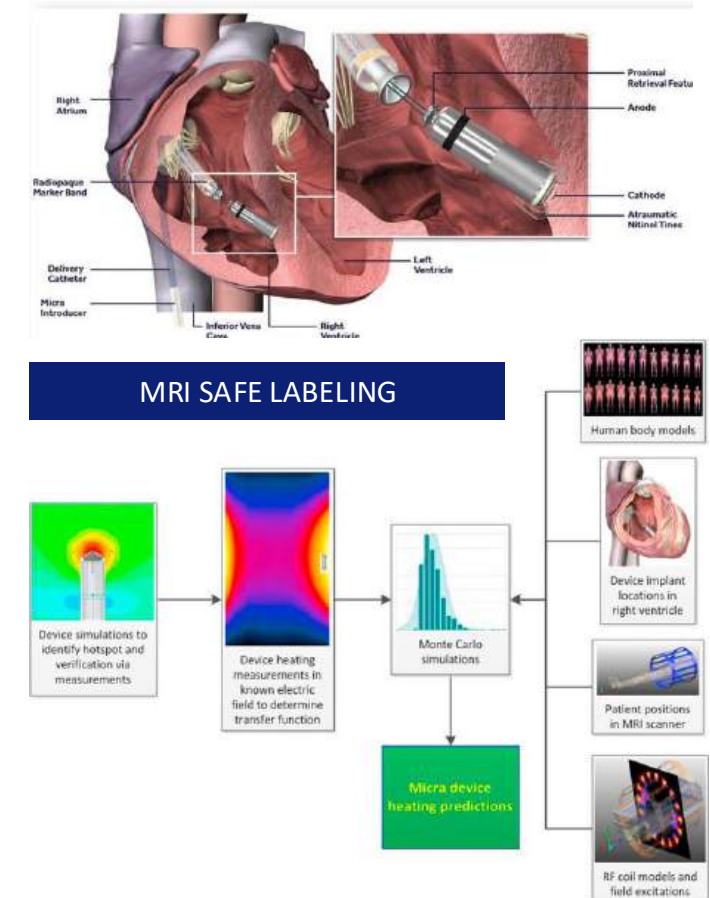
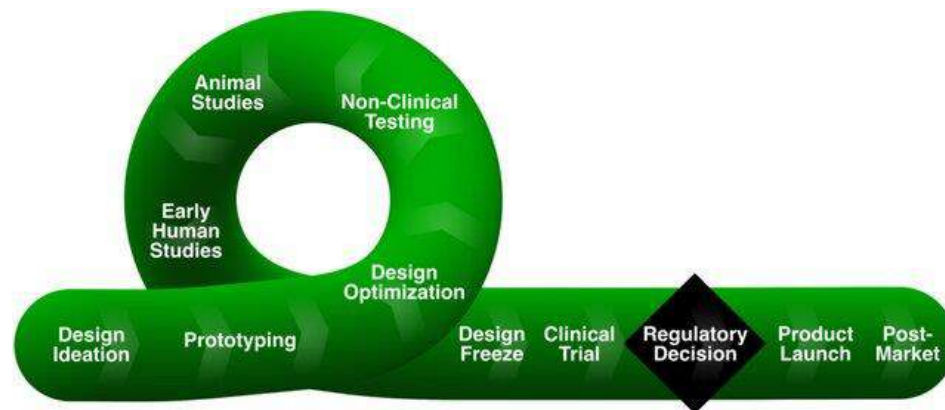
- Models are built to address specific questions of interest
- The better the question is defined, the better the model
- AI (data-driven) + mechanistic (first-principles) modelling
- Models are informed by
 - Physical and Real-World Data
 - Clinical imaging
 - Disease or condition to be treated
 - Digital representation of the device and its operation
 - Manufacturing processes for the device



Regulatory use of modelling and simulation

Benefits to the regulatory ecosystem

- Initial use was for forensic analysis of post-market failures
- Applications moved left in the product life cycle towards manufacturing, R&D, and clinical evidence
- Successful applications have included
 - Reducing the number of questions to be addressed in the study design
 - Augmenting a clinical trial with virtual patients
 - Use of modelling and simulation to expand claims
 - Uses simulation to resolve post-market product safety & efficacy issues



Aycock KI, Battisti T, Peterson A, Yao J, Kreuzer S, Capelli C, Pant S, Pathmanathan P, Hoganson DM, Levine SM, Craven BA. Toward trustworthy medical device in silico clinical trials: a hierarchical framework for establishing credibility and strategies for overcoming key challenges. *Front Med (Lausanne)*. 2024 Aug 12;11:1433372.

Soejima K, Edmonson J, Ellingson ML, Herberg B, Wiklund C, Zhao J. Safety evaluation of a leadless transcatheter pacemaker for magnetic resonance imaging use. *Heart Rhythm*. 2016 Oct;13(10):2056-63

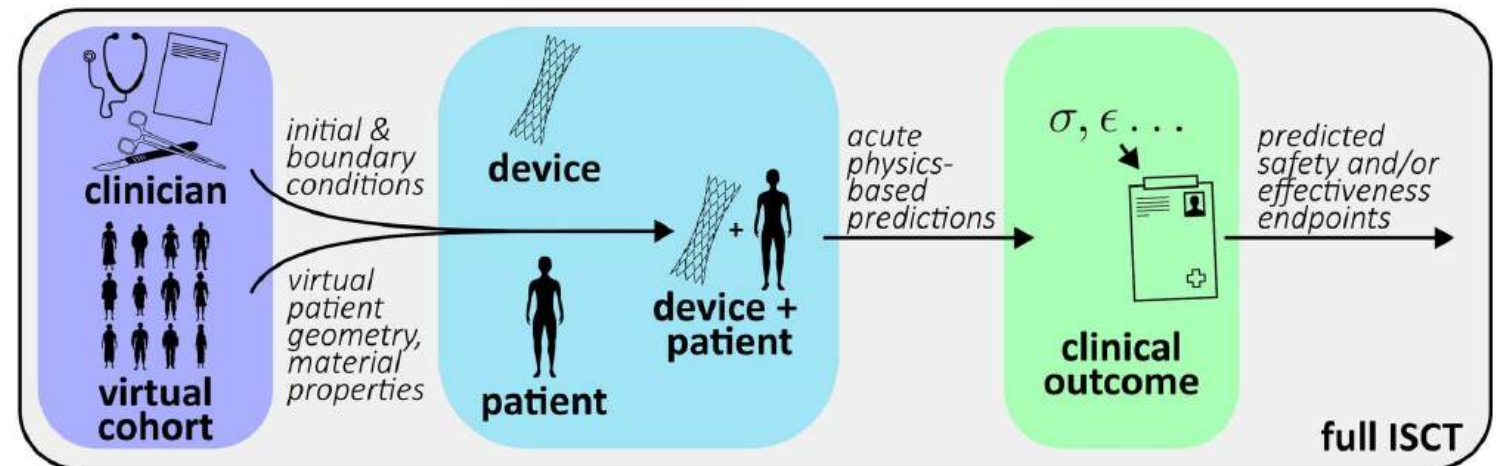
High-value Evidence for the entire Product Lifecycle

Value Proposition: *Reduce RDR from “Years to Months” & Safety Risks “Failing fast & earlier”*

In-silico testing/trials:

computer-based tests/trials using detailed prediction models on highly controlled virtual conditions or virtual cohorts

representing realistic operational conditions or target populations for the intended use



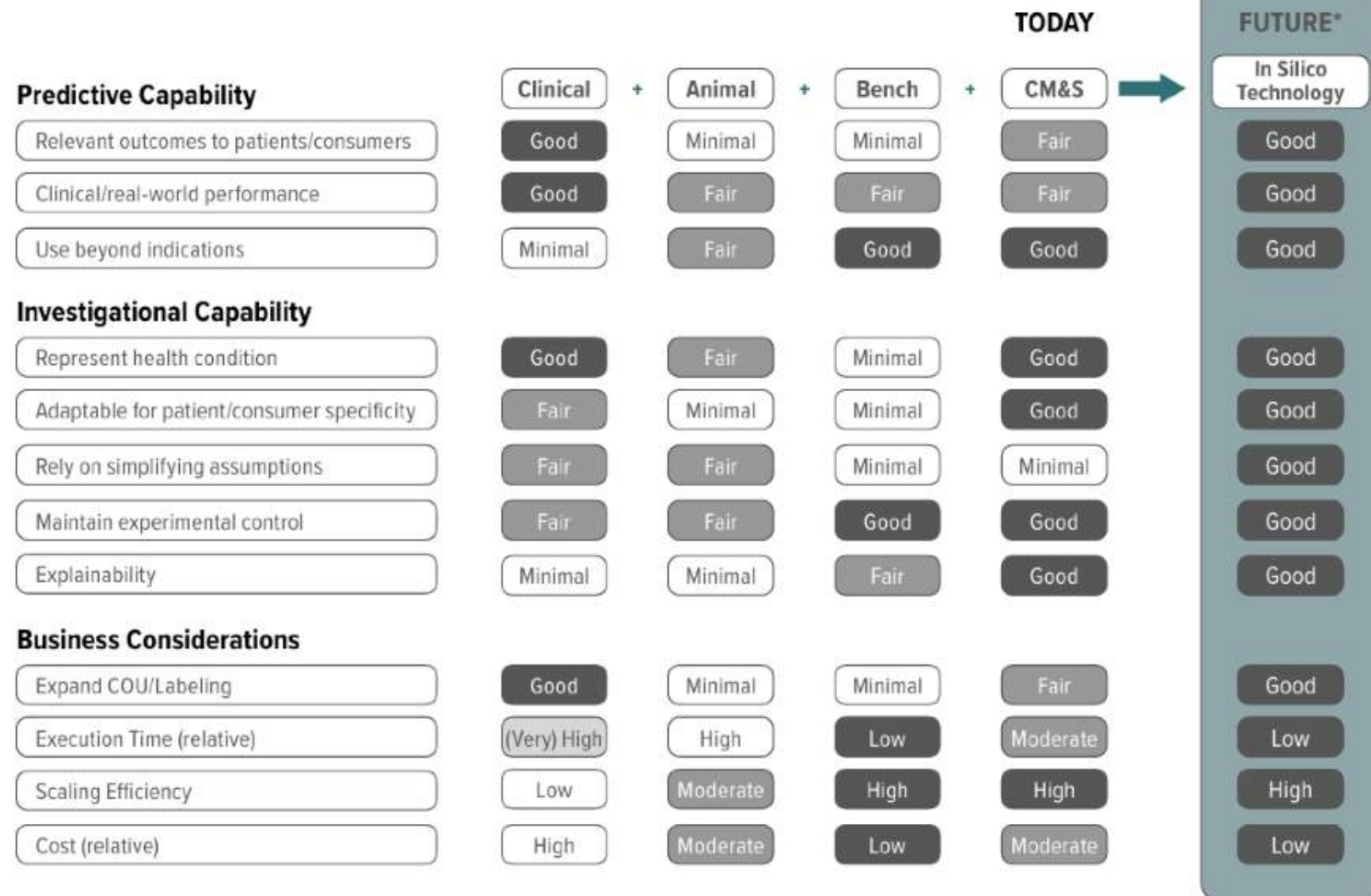
IN SILICO = evidence derived from real-world data plus computational modelling and simulation, including AI

Aycock KI, Battisti T, Peterson A, Yao J, Kreuzer S, Capelli C, Pant S, Pathmanathan P, Hoganson DM, Levine SM, Craven BA. Toward trustworthy medical device *in silico* clinical trials: a hierarchical framework for establishing credibility and strategies for overcoming key challenges. Front Med (Lausanne). 2024 Aug 12;11:1433372.

The Future?

Proportionate and risk-informed evidence framework

No single source of evidence provides the highest evidence rigour for all categories



* With data from all other models w/ AI/ML capabilities

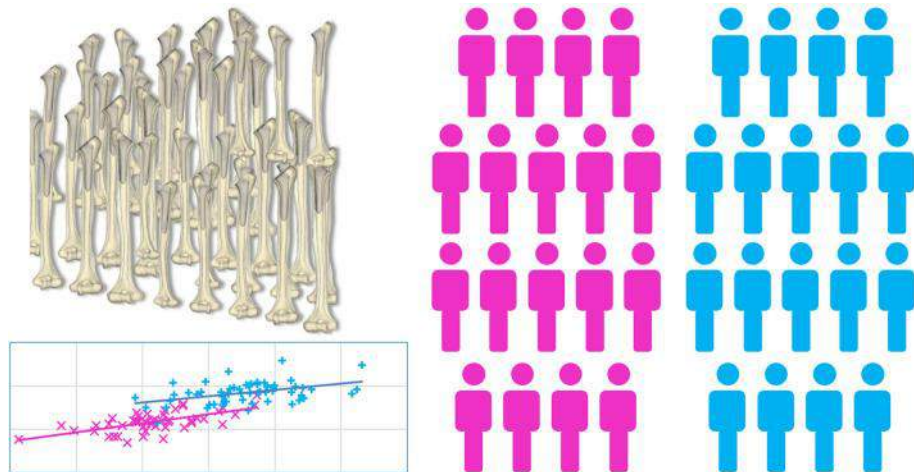
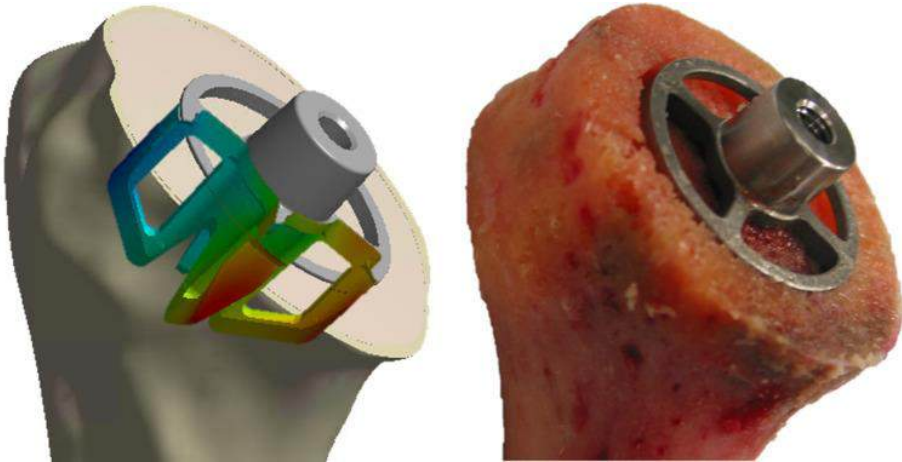
<https://reaganudall.org/publications/silico-technologies-strategic-imperative-accelerating-breakthroughs-and-market>

This is happening, Regulators just don't assess it

EXEMPLARS

Orthopaedic implants

> in silico bench test on realistic regimes



Annals of Biomedical Engineering, Vol. 49, No. 12, December 2021 (© 2021) pp. 3213–3226
<https://doi.org/10.1007/s10439-021-02787-y>

Virtual Physiological Human

BMES BIOMEDICAL
 ENGINEERING
 SOCIETY



In Silico Clinical Trials in the Orthopedic Device Industry: From Fantasy to Reality?

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 DANIEL HERTIG,² DANIEL CIRIC,² and JEFFREY E. BISCHOFF³

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Associate Editor Joel Stitzel oversaw the review of this article.

Abstract—The orthopedic device industry relies heavily on clinical evaluation to confirm the safety, performance, and clinical benefits of its implants. Limited sample size often prevents these studies from capturing the full spectrum of patient variability and real-life implant use. The device industry is accustomed to simulating benchtop tests with numerical methods and recent developments now enable virtual “in silico clinical trials” (ISCT). In this article, we describe how the advancement of computer modeling has naturally led to ISCT; outline the potential benefits of ISCT to patients, healthcare systems, manufacturers, and regulators; and identify how hurdles associated with ISCT may be overcome. In particular, we highlight a process for defining the relevant patient risks to address with ISCT, the utility of a versatile software pipeline, the necessity to ensure model credibility, and the goal of limiting regulatory uncertainty. By complementing—not replacing—traditional clinical trials with computational evidence, ISCT provides a viable tech-

FE	Finite element
ISCT	In silico clinical trials
MDR	Medical Devices Regulation
Clinical investigation (trial, study)	Systematic investigation in one or more human subjects, undertaken to assess the clinical performance, effectiveness or safety of a medical device
Clinical data	Safety, clinical performance and/or effectiveness information that is generated from the clinical use of a medical device
Clinical evaluation	Systematic and planned process

Favre P, Maquer G, Henderson A, Hertig D, Ciric D, Bischoff JE. In Silico Clinical Trials in the Orthopedic Device Industry: From Fantasy to Reality? *Ann Biomed Eng*. 2021 Dec;49(12):3213-3226. doi: 10.1007/s10439-021-02787-y.

Personalised high tibial osteotomy

> realignment surgery with bespoke vs generic devices

Table 2 Maximum von Mises stress (Stress) in the plates, maximum Von Mises strain (Strain) in the bone adjacent to the plates screws and maximum inter-fragmentary movement (IFM) for the two arms, and the differences between the arms for each of the three healing stages (HS), all cases are for screw configuration 3.

Generic ^a		Personalised ^a		Adjusted Difference (95% CIs) ^b Generic—Personalised	p values	
n	Mean (SE)	n	Mean (SE)			
Stress (MPa)						
HS2	391	331.8 (13.55)	410	345.1 (9.54)	−12.5 (−70.0, 45.0)	0.67
HS3	410	90.6 (3.56)	392	108.3 (3.81)	−17.1 (−26.2, −7.9)	<0.001
HS4	416	37.0 (1.64)	419	48.3 (1.60)	−11.1 (−15.3, −6.8)	<0.001
Strain (unitless)						
HS2	391	0.015 (0.00071)	365	0.017 (0.00067)	−0.0011 (−0.0030, 0.0008)	0.27
HS3	410	0.011 (0.00065)	392	0.011 (0.00056)	−0.0002 (−0.0024, 0.0021)	0.88
HS4	416	0.0096 (0.00067)	419	0.0090 (0.00055)	0.0005 (−0.0020, 0.0031)	0.67
IFM (mm)						
HS2	391	0.31 (0.012)	410	0.33 (0.015)	−0.014 (−0.045, 0.017)	0.37
HS3	410	0.12 (0.005)	392	0.12 (0.004)	−0.005 (−0.010, 0.001)	0.10
HS4	416	0.04 (0.002)	419	0.06 (0.003)	−0.036 (−0.054, −0.018)	<0.001

^aData are presented for all observations, which are clustered within participants.

^bEstimates are based on a multi-level logistic model using repeated measures over time and allowing for additional clustering within participants using robust standard errors.

Interesting points

- Obesity has been shown to be a significant independent predictor of major complications following HTO surgery (p=0.001). TomoFix plate not recommended in obese individuals.
- Despite this fact, 88% of patients in a previous clinical study were overweight or obese.
- The study included 92.9% overweight and 78.6% obese patients and is representative of the typical patient demographics.



communication:
medicine

DePuy Synthes
THE ORTHOPAEDICS COMPANY OF *Johnson & Johnson*

ARTICLE

<https://doi.org/10.1038/s43856-021-00001-7>

OPEN

Personalised high tibial osteotomy has mechanical safety equivalent to generic device in a case-control in silico clinical trial

Alisdair R. MacLeod¹, Nicholas Peckham², Gil Serrancolí³, Ines Rombach², Patrick Hourigan⁴, Vipul I. Mandalia⁴, Andrew D. Toms⁴, Benjamin J. Fregly⁵ & Harinderjit S. Gill^{1,6}

Abstract

Background Despite favourable outcomes relatively few surgeons offer high tibial osteotomy (HTO) as a treatment option for early knee osteoarthritis, mainly due to the difficulty of achieving planned correction and reported soft tissue irritation around the plate used to stabilise the osteotomy. To compare the mechanical safety of a new personalised 3D printed high tibial osteotomy (HTO) device, created to overcome these issues, with an existing generic device, a case-control in silico virtual clinical trial was conducted.

Methods Twenty-eight knee osteoarthritis patients underwent computed tomography (CT) scanning to create a virtual cohort; the cohort was duplicated to form two arms, Generic and Personalised, on which virtual HTO was performed. Finite element analysis was performed to calculate the stresses in the plates arising from simulated physiological activities at three healing stages. The odds ratio indicative of the relative risk of fatigue failure of the HTO plates between the personalised and generic arms was obtained from a multi-level logistic model.

Results Here we show, at 12 weeks post-surgery, the odds ratio indicative of the relative risk of fatigue failure was 0.14 (95%CI 0.01 to 2.73, p = 0.20).

Conclusions This novel (to the best of our knowledge) in silico trial, comparing the mechanical safety of a new personalised 3D printed high tibial osteotomy device with an existing generic device, shows that there is no increased risk of failure for the new personalised design compared to the existing generic commonly used device. Personalised high tibial osteotomy can overcome the main technical barriers for this type of surgery, our findings support the case for using this technology for treating early knee osteoarthritis.

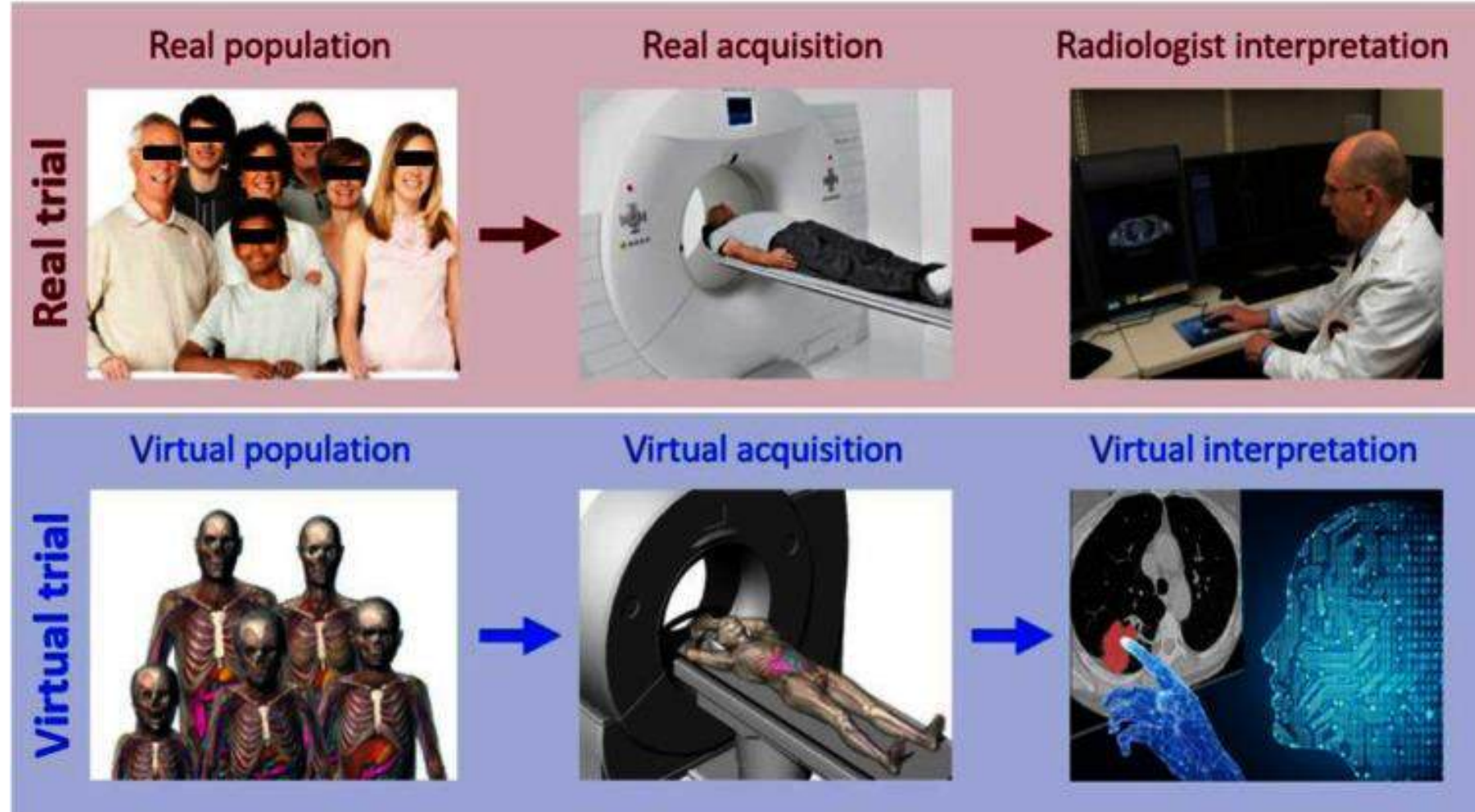
Plain Language Summary

Surgical treatment to realign the knee, called a high tibial osteotomy, is effective at relieving symptoms of knee osteoarthritis but the operation is difficult. A new personalised treatment with simpler surgery has been designed. The aim of this study was to investigate the safety of the new personalised treatment compared to the standard treatment. For the first time, a detailed computer simulation clinical trial was performed, using imaging data from 28 real patients. The computer simulation compared the risk of the implant failure between the personalised and standard treatments. The personalised treatment did not have a higher risk of implant failure than standard treatment. This supports further clinical studies looking at the benefits of personalised over standard realignment surgery. The personalised treatment has the potential to allow much more widespread use of realignment surgery to treat early knee osteoarthritis.

MacLeod, A.R., Peckham, N., Serrancolí, G. et al. Personalised high tibial osteotomy has mechanical safety equivalent to generic device in a case-control in silico clinical trial. *Commun Med* 1, 6 (2021).

Cheaper, faster, better, safer and more scalable

VICTRE Trial – Digital Breast Mammography vs DB Tomosynthesis



Abadi E, Segars WP, Tsui BMW, Kinahan PE, Bottenus N, Frangi AF, Maidment A, Lo J, Samei E. Virtual clinical trials in medical imaging: a review. J Med Imaging (Bellingham). 2020 Jul;7(4):042805

Digital Breast Tomosynthesis

> comparative diagnostic efficacy



JAMA Network | Open

Original Investigation | Imaging

Evaluation of Digital Breast Tomosynthesis as Replacement of Full-Field Digital Mammography Using an In Silico Imaging Trial

Aldo Badano, PhD; Christian G. Graff, PhD; Andreu Badal, PhD; Diksha Sharma, MSc; Rongping Zeng, PhD; Frank W. Samuelson, PhD; Stephen J. Glick, PhD; Kyle J. Myers, PhD

Abstract

Regulatory evaluation of innovative medical products is increasingly challenging. Simulation is increasingly being used to evaluate digital breast tomosynthesis (DBT) systems via fast Monte Carlo x-ray simulation to compare the results with a full-field digital mammography (FFDM) system. We evaluated digital breast tomosynthesis (DBT) systems via fast Monte Carlo x-ray simulation to compare the results with a full-field digital mammography (FFDM) system. We evaluated digital breast tomosynthesis (DBT) systems via fast Monte Carlo x-ray simulation to compare the results with a full-field digital mammography (FFDM) system.

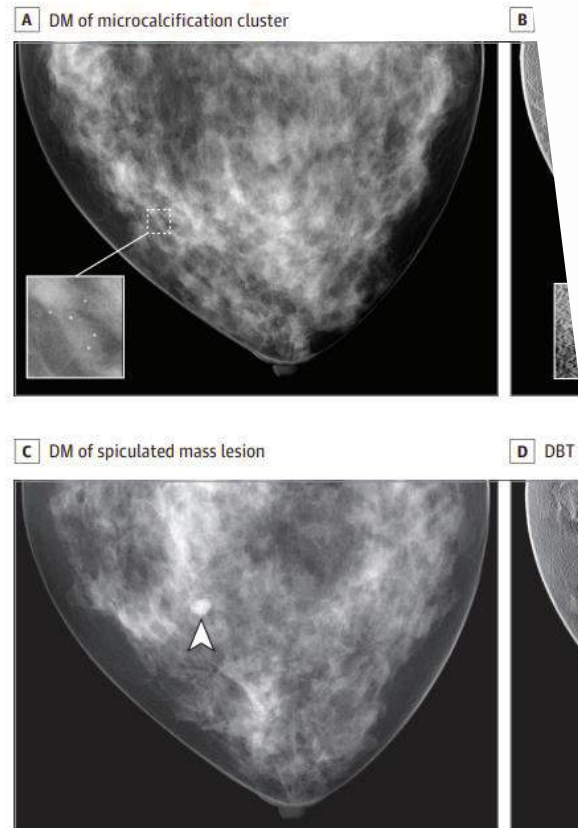
Change in AUC

IONS AND RELEVANCE The results of the simulated VICTRE trial are consistent with the findings seen in the comparative trial. While further research is needed to assess the ability of these findings, in silico imaging trials represent a viable source of regulatory evidence for imaging devices.

Open. 2018;1(7):e185474. doi:10.1001/jamanetworkopen.2018.5474

no A, Graff CG, Badal A, Sharma D, Zeng R, Samuelson FW, Glick SJ, Myers KJ. Evaluation of Digital Breast Tomosynthesis as Replacement of Full-Field Digital Mammography Using an In Silico Imaging Trial. JAMA Network Open. 2018 Nov 2;1(7):e185474. doi: 10.1001/jamanetworkopen.2018.5474.

Figure 2. Example Images From the Virtual Imaging Clinical Trial



Trials
Open Access

COMMENTARY

In silico imaging clinical trials: cheaper, faster, better, safer, and more scalable

Aldo Badano

Abstract
Imaging clinical trials can be burdensome and often delay patient access to novel, high-quality medical devices. Tools for in silico imaging trials have significantly improved in sophistication and availability. Here, I describe some of the principal advantages of in silico imaging trials and enumerate five lessons learned during the design and execution of the first all-in silico virtual imaging clinical trial for regulatory evaluation (the VICTRE study).

Keywords: In silico trials, Computational modeling, Regulatory evaluation

In silico imaging
Imaging clinical trials aim at answering specific scientific questions regarding the value of imaging technologies and procedures for detecting, diagnosing, guiding, and monitoring the treatment of disease. Imaging clinical trials can be burdensome for industry and regulators, often delaying patient access to novel, high-quality medical devices. The evaluation of novel imaging technologies often requires a substantial clinical study to demonstrate benefits compared to the standard of care. While computational models are sometimes used in the regulatory evaluation of medical devices, their use in support of the more conventional applications in research and development of new imaging technology into areas where computer simulation has not yet been applied at any significant level. For instance, simulation tools can be used by industry and regulators to better understand modifications to existing devices and to predict the performance of new technology.

While many investigations on the use of in silico imaging to study the performance of radiation imaging systems (see, for instance, [2]) have been reported, no all-in silico clinical imaging trial has been reported until recently [3]. The VICTRE study consisted of an in silico replication of a clinical trial comparing digital mammography (DM) and selected digital breast tomosynthesis (DBT) slice (B) of a case corresponding to a breast with scattered areas of fibroglandular density containing a microcalcification cluster (inserts). C and D, Digital mammography (C) and selected DBT slice (D) of a case corresponding to a breast with scattered areas of fibroglandular density containing a spiculated mass lesion (arrowheads). Lesions have been made more conspicuous for display purposes by artificially increasing their radiography attenuation during image acquisition.

Key Points

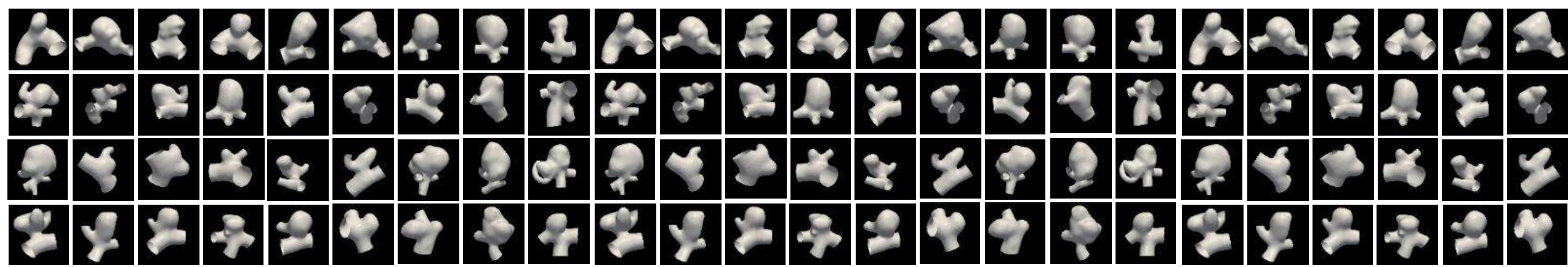
Question Can in silico imaging trials play a role in the evaluation of new medical imaging systems?

Findings This diagnostic study used computer-simulated imaging of 2986 synthetic image-based virtual patients to compare digital mammography and digital breast tomosynthesis and found an improved lesion detection performance favoring tomosynthesis for all breast sizes and lesion types. The increased performance for tomosynthesis was consistent with results from a comparative trial using human patients and radiologists.

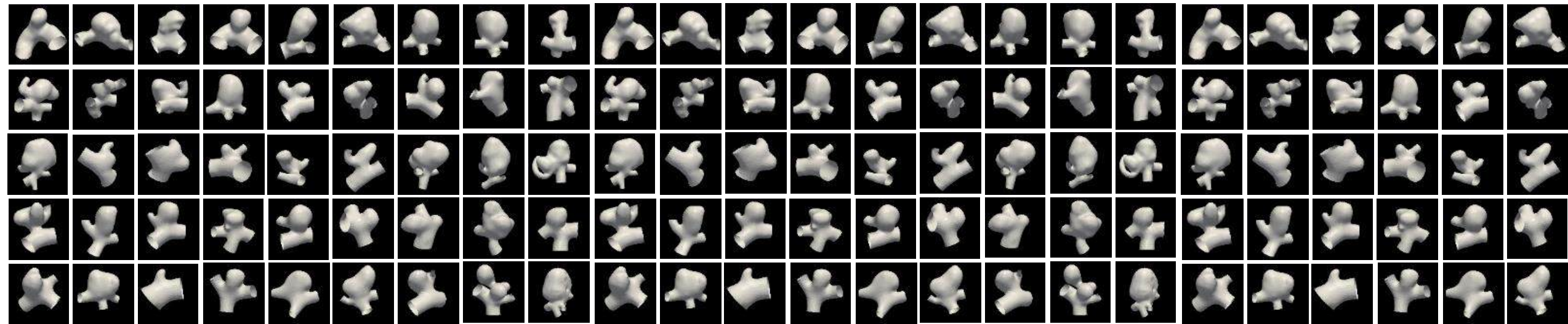
Meaning The study's findings suggest that in silico imaging trials and imaging system computer simulation tools can in some cases be considered viable sources of evidence for the regulatory evaluation of imaging devices.

Supplemental content

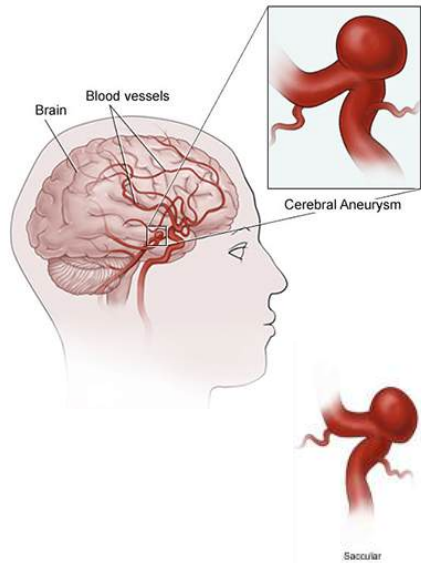
Author affiliations and article information are listed at the end of this article.



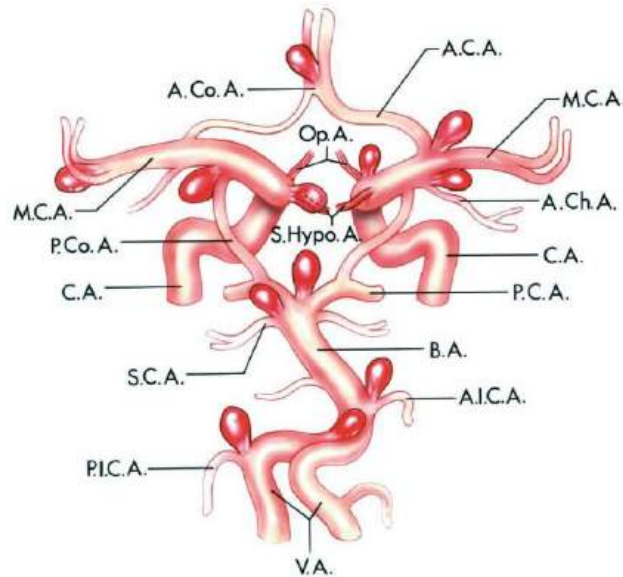
Can **in-silico trials** replicate and expand
 conventional clinical trials?



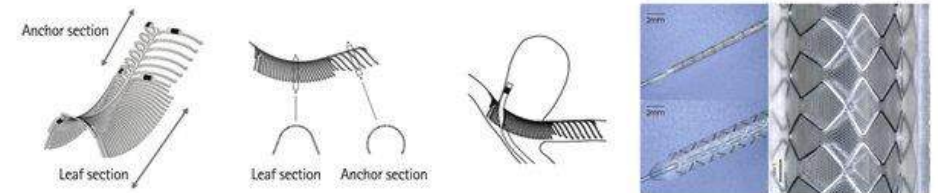
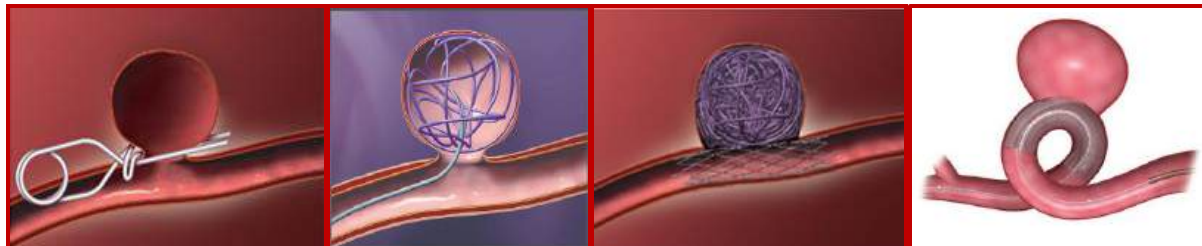
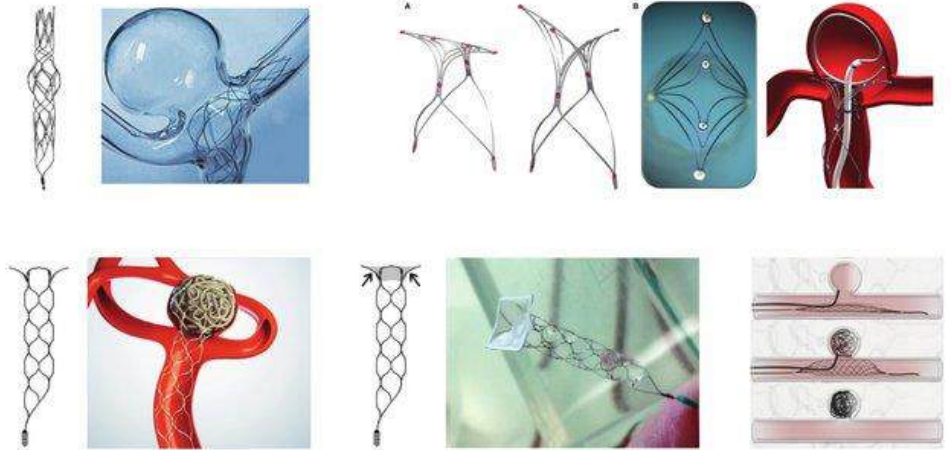
Haemorrhagic stroke: intracranial aneurysms



www.rwjbh.org/rwj-university-hospital-new-brunswick/treatment-care/neurosciences/neurosurgery/for-patients/new-jersey-brain-aneurysm-avm-program/what-is-a-brain-aneurysm/

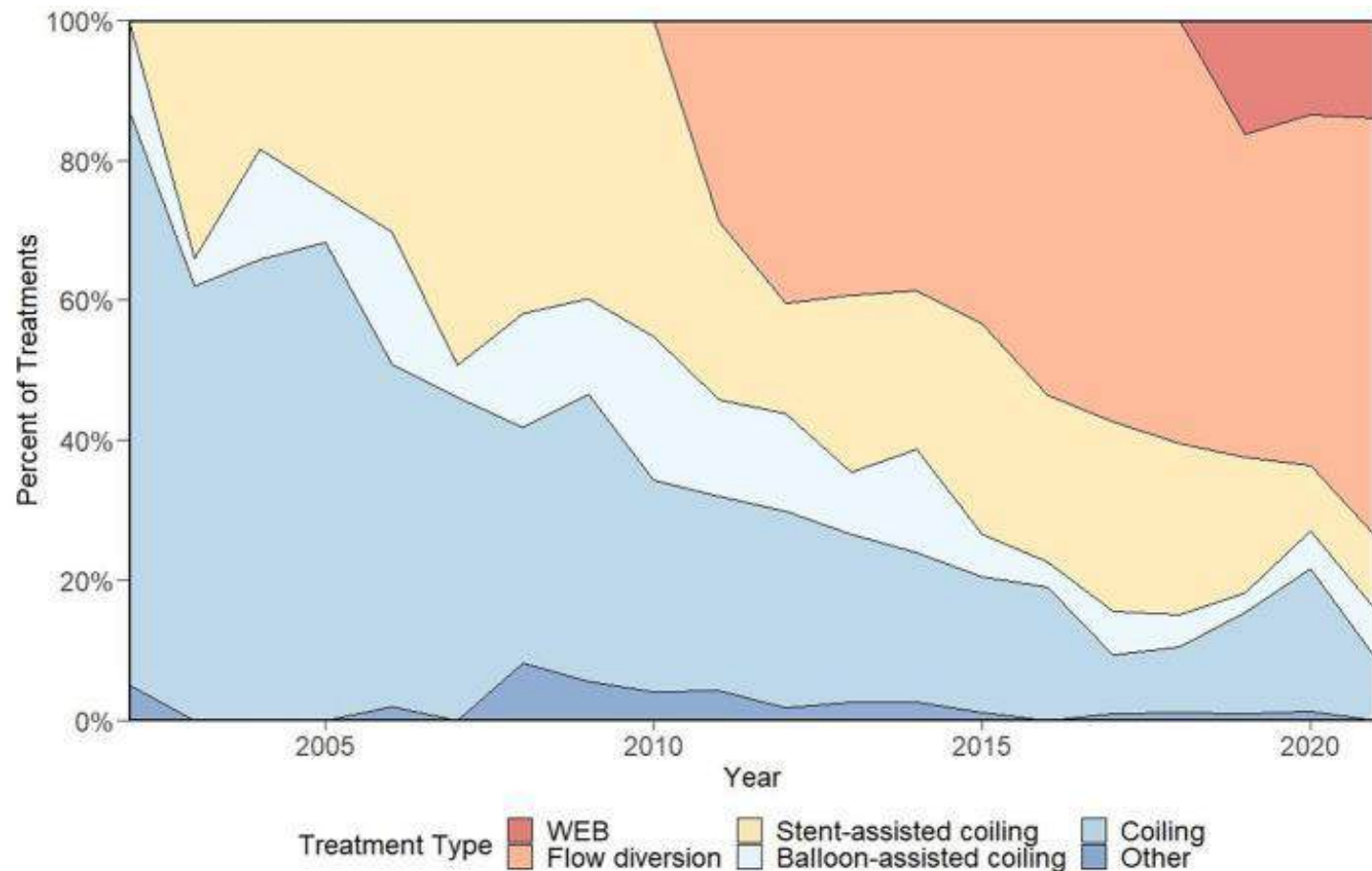


www.neurosurgicalatlas.com/neuroanatomy/most-common-sites-of-saccular-aneurysms



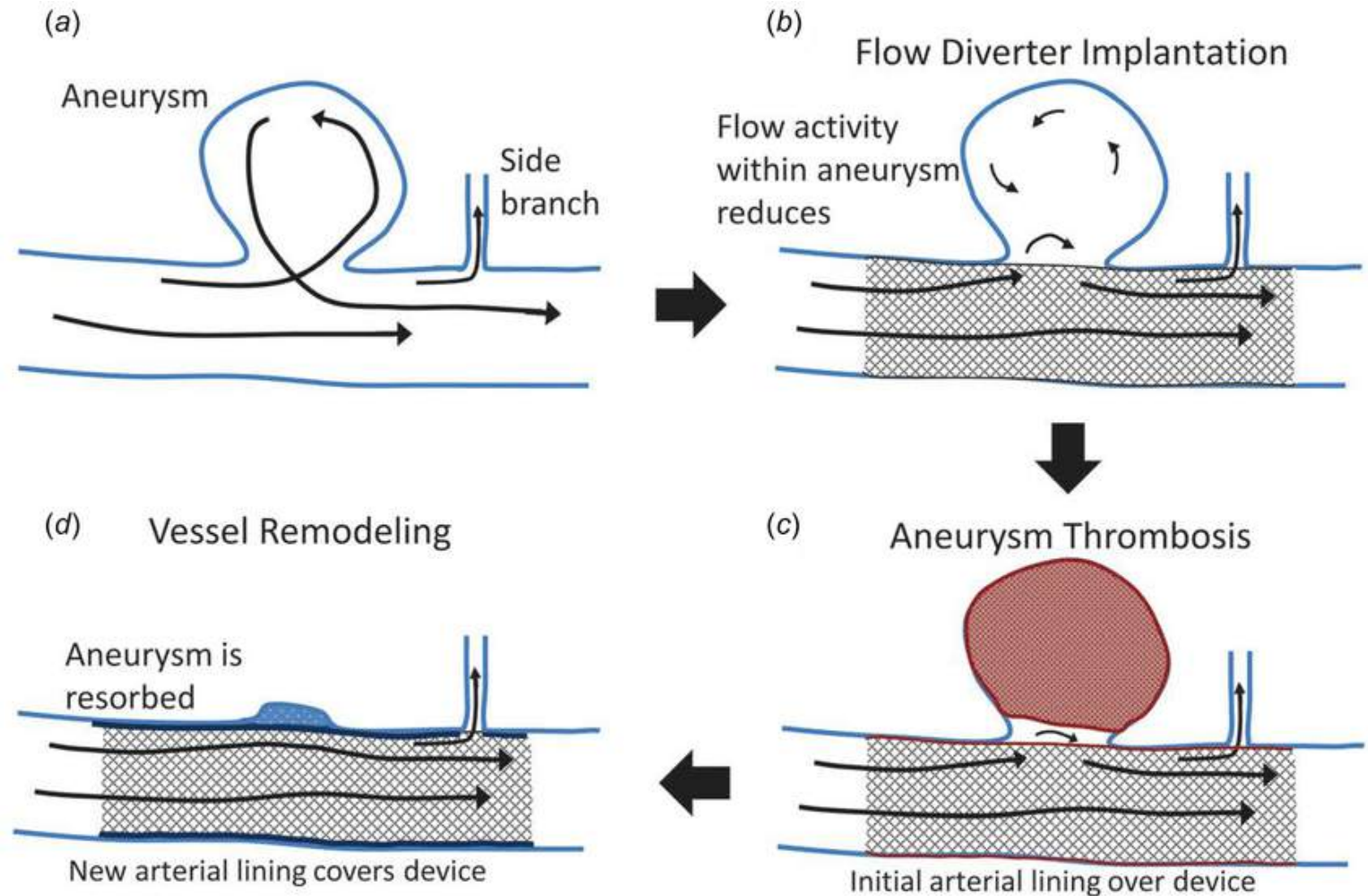
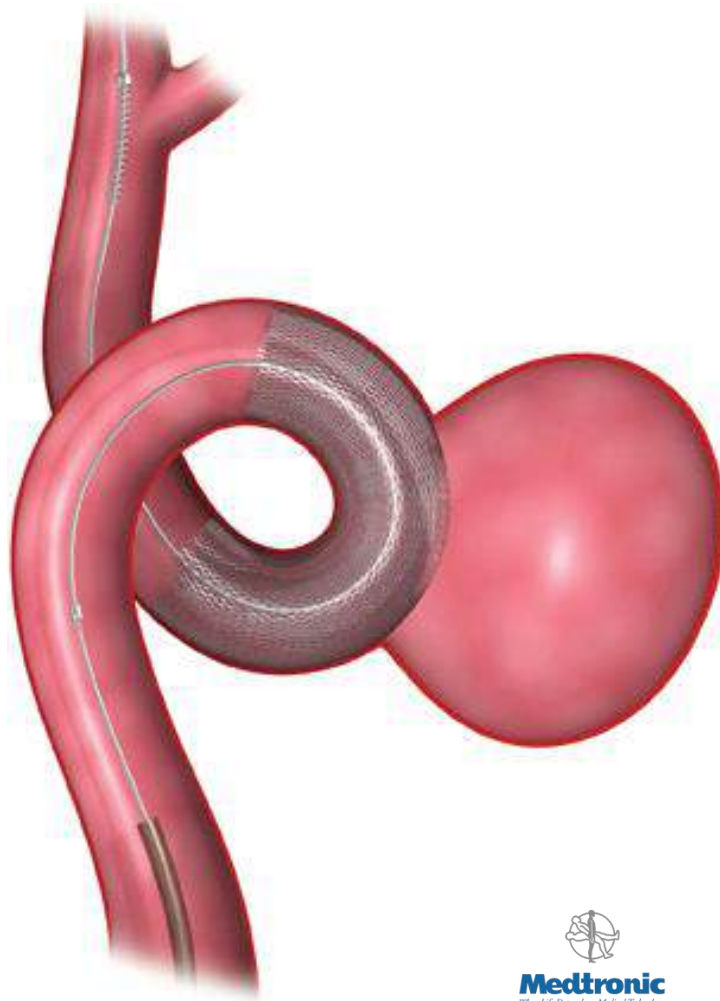
Jia ZY, Shi HB, Miyachi S, Hwang SM, Sheen JJ, Song YS, Kim JG, Lee DH, Suh DC. Development of New Endovascular Devices for Aneurysm Treatment. J Stroke. 2018 Jan;20(1):46-56. doi: 10.5853/jos.2017.02229.

Dandapat S, Mendez-Ruiz A, Martinez-Galdamez M, Macho J, Derakhshani S, Foa Torres G, Pereira VM, Arat A, Wakhloo AK, Ortega-Gutierrez S. Review of current intracranial aneurysm flow diversion technology and clinical use. J Neurointerv Surg. 2021 Jan;13(1):54-62.



Device	Manufacturer and year of FDA approval	Material	Detachment
Cath			
Target (guidewire detachable coil)	Target, 1993	Bare platinum	Electrolytic
Waver (covered)	Medtronic, 2001	Bare platinum	Mechanical (using resistor heating)
Hydraflex catheter system	Microvention, 2002	Hydrogel-coated bare platinum coils	Very soft detachment (resistor with self-retracted integral power supply)
Cathx detachable coil	Cathx, 2003	Bare Platinum	Mechanical (Hydraulic)
HeartBlocker coil	Boston Scientific/Cathx, 2003	Poly(bioresorbable-coated bare platinum coils)	Electrolytic
Concept coil	Hiron, 2004	Platinum coils with polyglycolic and no drug through lattice of platinum platinum stent	Mechanical (using resistor heating)
Orbit-Gelby coil	Corvioris, 2005	Bare platinum	Mechanical (using resistor heating)
FCO2 coil	Procardis, 2011	Bare platinum	Stochastic
Orbitone Trailblaze coil	Johnson and Johnson, 2013	Bare platinum	Mechanical (hydrolytic)
AortaX	Medtronic, 2014	Bare platinum	Mechanical
Blockade coil	Bell, 2015	Bare platinum	Electrolytic
AortaX plus	Medtronic, 2017	Bare platinum	Mechanical
Optima coil	Bell, 2018	Bare platinum	Thermal
Stents			
Device	Manufacturer and Year of FDA Approval	Material	Delivery system (size)
Neovision stent	Boston Scientific/Target, 2002	Nitinol, open-cell design	0.027 inch microcatheter
Enterprise stent	Cardia Neurovascular, 2007	Nitinol, closed-cell design coated with heparin polymer (flexible CO)	0.021 inch microcatheter
VIA, VIA Jr	Microvention, 2010	Nitinol, closed-cell (barbed design)	0.021 inch, 0.018 inch microcatheters, respectively
VIAneo	Microvention	Nitinol, closed-cell (tented design)	0.018 inch microcatheter
Neuroform Atlas	Intrepid Neurovascular, 2015	Nitinol, laser cut open-cell design	0.018 inch microcatheter
Flow diverters			
Device	Manufacturer and year of FDA approval	Material	Delivery catheter size
Pipeline Emboliculation Device	Medtronic Neurovascular, 2011	No cobalt-chromium stents, 12 platinum-copper strand wires in 3:1 ratio	0.027 inch microcatheter
Pipeline Flex Embolication Device	Medtronic Neurovascular, 2016	No cobalt-chromium stents, 11 platinum-copper strand wires in 3:1 ratio	0.027 inch microcatheter
Pipeline Flex with Shield	Medtronic Neurovascular, 2021	36 cobalt-chromium struts, 12 platinum-copper strand wires in 3:1 ratio, phosphor-bronze polymer surface coating	0.027 inch microcatheter
Pipeline Pangen	Medtronic Neurovascular	36 or 48 cobalt-chromium struts, 12 or 24 platinum-copper strand wires in 3:1 ratio, phosphor-bronze polymer surface coating	0.021 inch or 0.027-inch microcatheter
FIELD	Microvention, 2013	Self-expanding braided bi-stent wires	0.027 inch microcatheter
FIELD Jr	Microvention, 2015	Self-expanding braided bi-stent wires	0.021 inch microcatheter
FIELD X	Microvention	Self-expanding braided bi-stent wires, (perforated) bare polymer braided layer	0.021 inch or 0.027-inch microcatheter
Neupan Neuroflow	Intrepid Neurovascular, 2018	72 or 86 cobalt-chromium wires	0.033 inch microcatheter (catheter passed over 0.014 inch stenosis)
Neupan Enduro	Intrepid Neurovascular, 2020	64 cobalt-chromium wires	0.027 inch microcatheter
NEK	Bell Endurox	40 braided nitinol wires	0.021 inch or 0.025-inch microcatheter
NEK Vista	Bell Endurox	40 braided nitinol wires	0.021 inch or 0.025-inch microcatheter
NEK Vascular	Bell Endurox	40 braided nitinol wires	0.017 inch microcatheter
Orbits			
Device	Manufacturer and year of FDA approval	Material	Delivery catheter size
Orbit HD-300	eVS, 2007	30% (by dry wt) alcohol copolymer dissolved in DMSO with suspended microsphere (cerium powder)	0.014 inch microcatheter
Pulsedrive Device	Corvioris, 2010	Nitinol, T or T design	0.021 inch microcatheter
pCIVUS	Phenox	Nitinol, radially flexing drug-delivery	0.021 inch microcatheter
pCIVUS II	Phenox	Nitinol, radially flexing drug-delivery	0.021 inch microcatheter
pCIVUS II-MPC	Phenox	Nitinol, radially flexing drug-delivery with hydrophilic coating	0.021 inch microcatheter
NEER	Microvention, 2011	Nitinol stents with polyurethane coat, non-angled lower of neck	0.017, 0.021, 0.027, or 0.031 inch microcatheter
Connet	Cardia	Covered braided structure's x 72 nitinol wires	0.021 inch or 0.027-inch microcatheter
Balloon			
Device	Manufacturer and Year of FDA Approval	Latex type	Balloon composition
Coronacoils	Cardia Neurovascular, 1999	Single latex	No
Epistent	Micro Therapeutics, 2000	Single latex	No
AortaX	Medtronic, 2004	Single latex	No
HyperGlide	Medtronic Neurovascular, 2008	Single latex	Yes
HyperForm	Medtronic Neurovascular, 2010	Single latex	Yes
Scapher	Microvention, 2017	Dual latex	Yes
TransForm	Intrepid Neurovascular, 2013	Single latex	No
Valve	Bell, 2018	Dual latex	Yes

Flow-Diverter Mechanism of Action



Pipeline for Uncoilable or Failed Aneurysms (PUFS) Trial

ORIGINAL RESEARCH ■ NEURORADIOLOGY

Pipeline for Uncoilable or Failed Aneurysms: Results from a Multicenter Clinical Trial¹

Tibor Becske, MD
David F. Kallmes, MD
Isil Saatci, MD
Cameron G. McDougall, MD
István Szikora, MD, PhD
Giuseppe Lanzino, MD
Christopher J. Moran, MD
Henry H. Woo, MD
Demetrius K. Lopes, MD
Aaron L. Berez, MD
Daniel J. Cher, MD
Adnan H. Siddiqui, MD, PhD
Elad I. Levy, MD
Felipe C. Albuquerque, MD
David J. Fiorella, MD, PhD
Zsolt Berentel, MD

Purpose: To evaluate the safety and effectiveness of the Pipeline Embolization Device (PED; ev3/Covidien, Irvine, Calif) in the treatment of complex intracranial aneurysms.

Materials and Methods: The Pipeline for Uncoilable or Failed Aneurysms is a multicenter, prospective, interventional, single-arm trial of PED for the treatment of uncoilable or failed aneurysms of the internal carotid artery. Institutional review board approval of the HIPAA-compliant study protocol was obtained from each center. After providing informed consent, 108 patients with recently unruptured large and giant wide-necked aneurysms were enrolled in the study. The primary effectiveness endpoint was angiographic evaluation that demonstrated complete aneurysm occlusion and absence of major stenosis at 180 days. The primary safety endpoint was occurrence of major ipsilateral stroke or neurologic death at 180 days.

Radiology

Design to Publication 8 years
Recruitment 6 years
108 patients ca. £30-40m
<https://clinicaltrials.gov/ct2/show/NCT00777088>



PREMIER⁷ n=141	PUFs^{1,2} n=106	Buenos Aires Experience n=53	Hong Kong Experience¹⁵ n=178
ASPIRe¹¹ n=191	PITA¹³ n=31	Ankara Experience¹⁴ n=251	Australian Registry¹⁶ n=5

MOST STUDIED FLOW DIVERTER WORLDWIDE

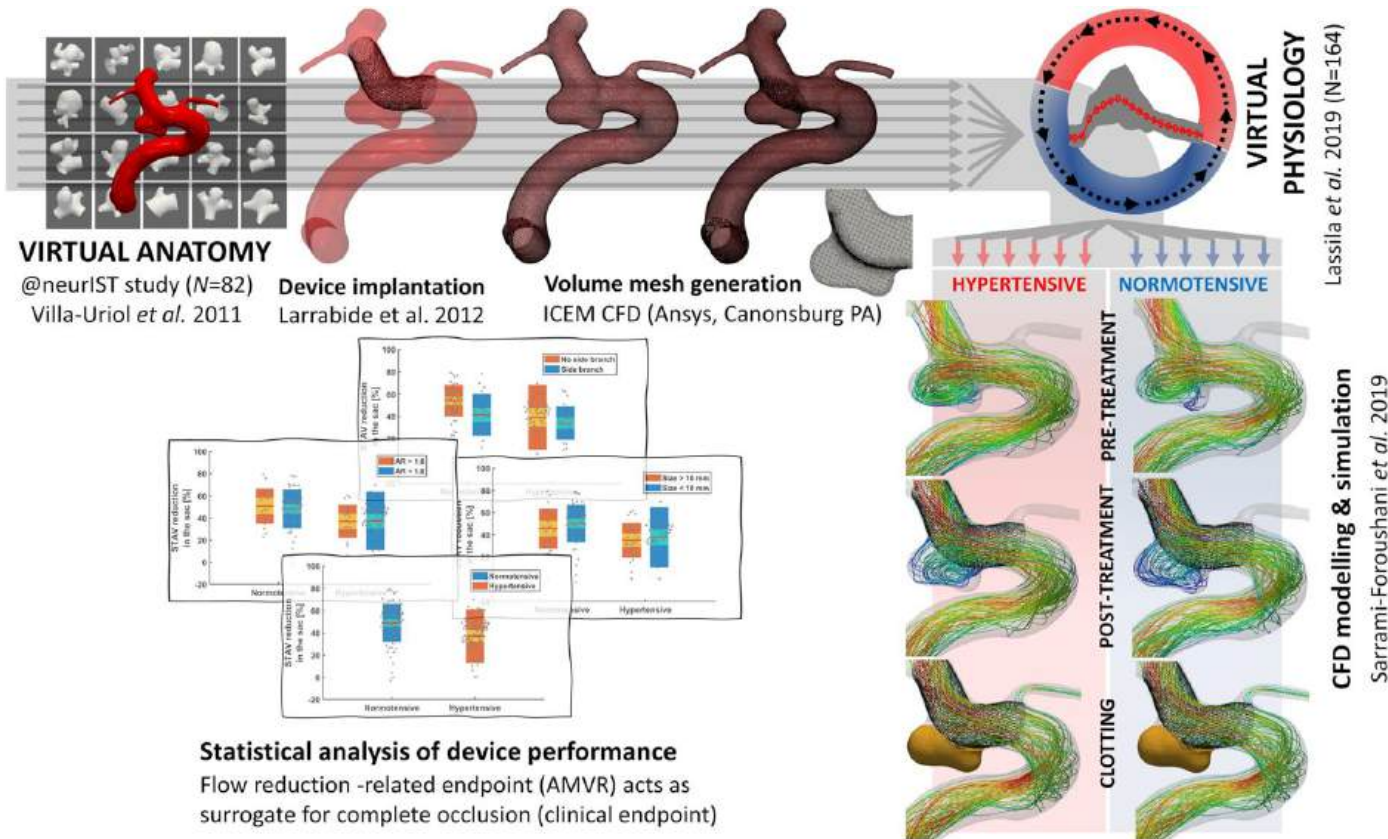
Pipeline™ is the most studied flow diverter worldwide with a proven safety and efficacy profile.^{6,7}

SEE CLINICAL EVIDENCE



FD-PASS

Our first in silico clinical trial



ARTICLE

<https://doi.org/10.1038/s41467-021-23998-w> **OPEN**

In-silico trial of intracranial flow diverters replicates and expands insights from conventional clinical trials

Ali Sarrami-Foroushani^{1,2}, Toni Lassila¹, Michael MacRaid¹, Joshua Asquith¹, Kit C. B. Roes³, James V. Byrne⁴ & Alejandro F. Frangi^{1,2,5,6}

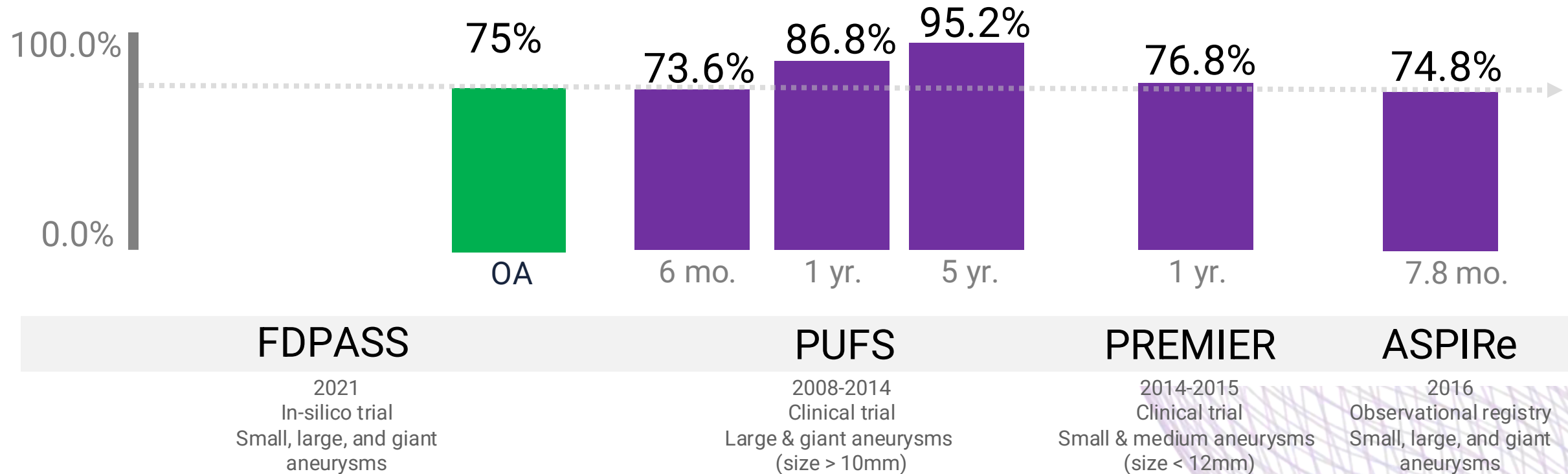
The cost of clinical trials is ever-increasing. In-silico trials rely on virtual populations and interventions simulated using patient-specific models and may offer a solution to lower these costs. We present the flow diverter performance assessment (FD-PASS) in-silico trial, which models the treatment of intracranial aneurysms in 164 virtual patients with 82 distinct anatomies with a flow-diverting stent, using computational fluid dynamics to quantify post-treatment flow reduction. The predicted FD-PASS flow-diversion success rates replicate the values previously reported in three clinical trials. The in-silico approach allows broader investigation of factors associated with insufficient flow reduction than feasible in a conventional trial. Our findings demonstrate that in-silico trials of endovascular medical devices can: (i) replicate findings of conventional clinical trials, and (ii) perform virtual experiments and sub-group analyses that are difficult or impossible in conventional trials to discover new insights on treatment failure, e.g. in the presence of side-branches or hypertension.



Sarrami-Foroushani A, Lassila T, MacRaid M, Asquith J, Roes KCB, Byrne JV, Frangi AF. In-silico trial of intracranial flow diverters replicates and expands insights from conventional clinical trials. Nat Commun. 2021 Jun 23;12(1):3861. doi: 10.1038/s41467-021-23998-w.

FD-PASS:

replicated the occlusion rates of three studies

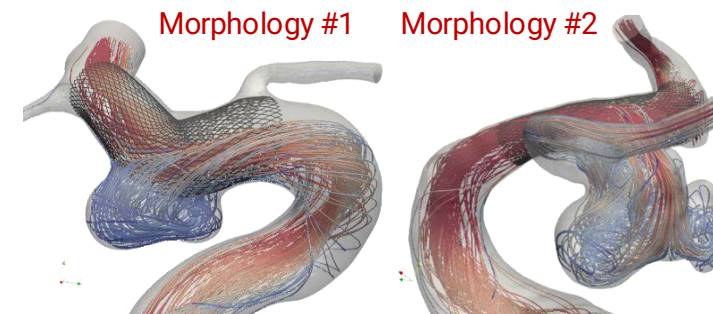
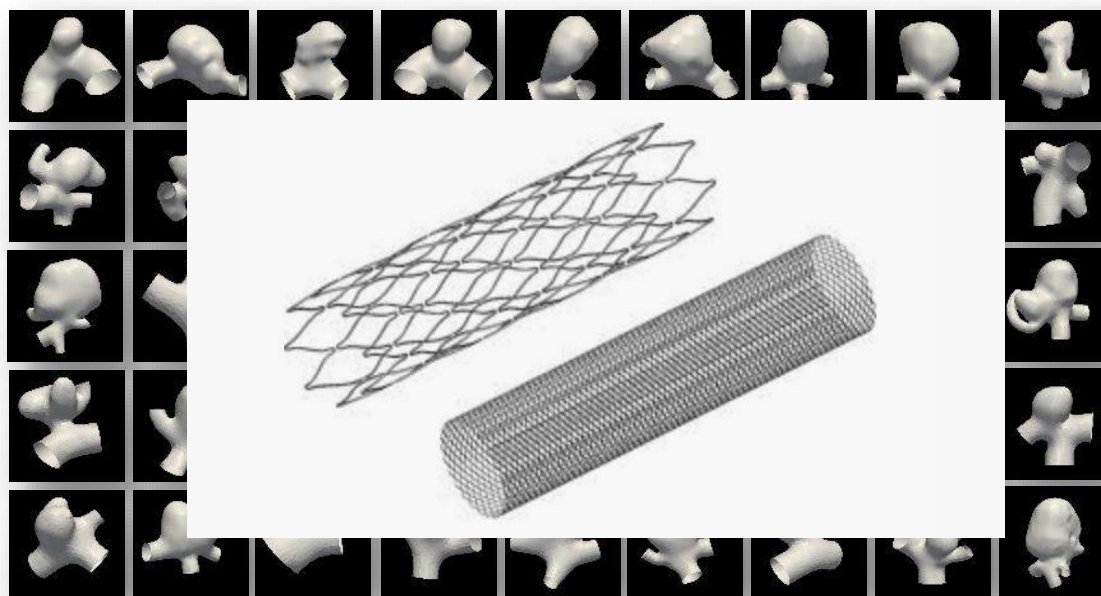
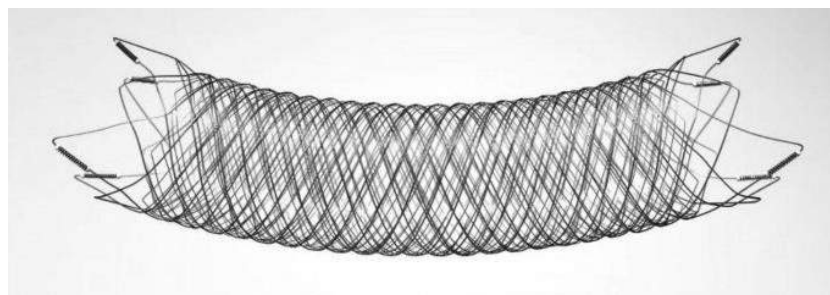


PUFS—Outcome improvement over time—Three possible explanations:

- 1/ The aneurysm occlusion process can be prolonged in some cases. As time passes, more aneurysms heal.
- 2/ Some cases received re-treatments: 6 aneurysms re-treated
- 3/ Dropouts or failure in follow-ups Y1: 86.8% (79/91), Y3: 93.4% (71/76), and Y5: 95.2% (60/63).

In silico trials – Concrete example: cerebrovascular flow diversion devices

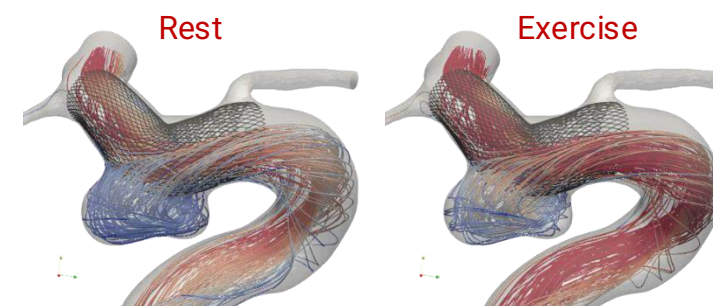
Systematically explore design space & test it against available knowledge



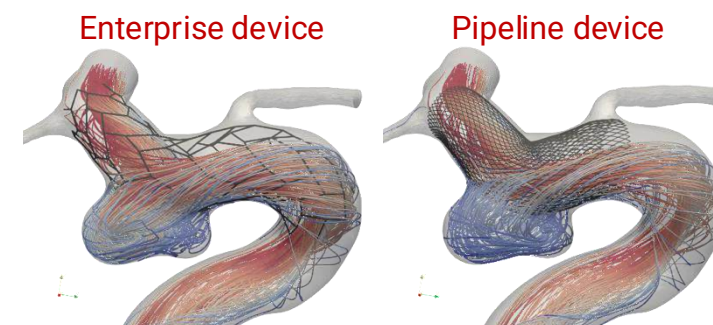
Surrogate end point:

Reduction in max
time-averaged
velocity at the neck

Anatomy #1: 64%
Anatomy #2: 27%



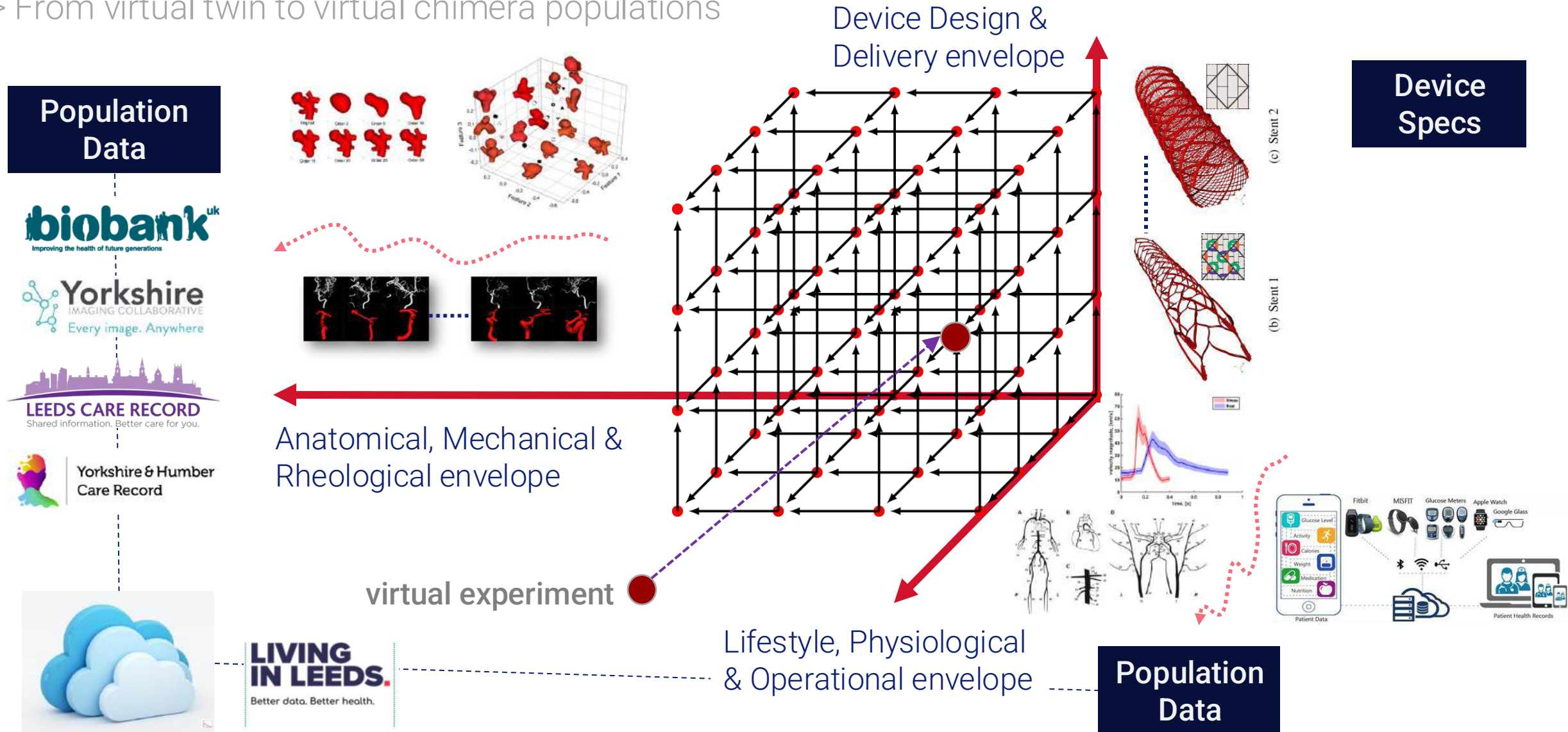
Rest 64%
Exercise 56%



Enterprise: 10%
Pipeline: 64%

The In-Silico Trial Space

> From virtual twin to virtual chimera populations



Real-world datasets – intracranial aneurysms



Brain angiographic data (3D rotational angiography, CTA, and/or MRA).

Approximately **600** patients.

Consecutive brain angiographic data (3D rotational angiography, CTA, and/or MRA) and radiology reports from the past 10 years have been retrieved in LTHT.

Approximately **36,000** patients.

Exams/Interventions

When available, aneurysm rupture status.

When available, information about coiling treatment.

Exams/Interventions

When available, aneurysm rupture status
 Flow diversion or coiling treatment of aneurysms.

Device information (type/make, size, numbers)

Blood analytics

Information re RBCs, WBCs, platelets, etc.

Comorbidities

Diabetes, (controlled) hypertension, hyperlipidemia, coronary artery disease, polycystic kidney disease, atrial fibrillation, and cancer.

Medicines

Anticoagulant and antiplatelet medicines like heparin, warfarin, aspirin, clopidogrel, ticagrelor, and prasugrel.

Demographics & clinical data

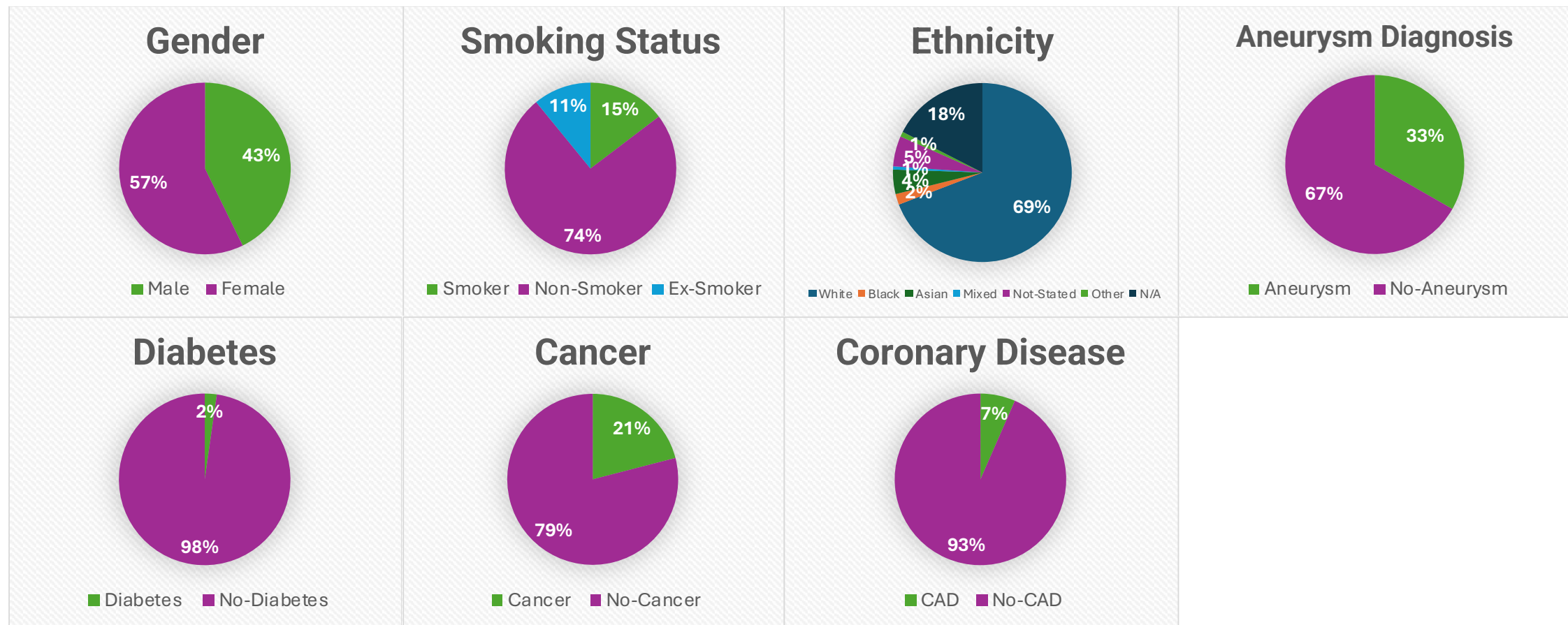
Age, gender, smoking status, and BMI

Demographics & clinical data

Age, gender, ethnicity, smoking status, and BMI

Real-world datasets – intracranial aneurysms

Example: Population– 35,891 cases (examined 2014-2024). Mean age = 59 yo [17-92 yo]



Reference at-scale datasets – aortic valve stenosis

**Boston
Scientific**

1,000



A randomized, controlled trial to evaluate the safety and effectiveness of the Lotus™ Valve System for TAVR in symptomatic subjects with calcific, severe native aortic valve stenosis who are considered at extreme or high risk for surgical valve replacement

**Study
Design:**

- 2:1 Randomization of **Lotus Valve vs. CoreValve® Transcatheter Aortic Valve Replacement Platform**
- N=1032
- Annulus size: 20 mm-27 mm (utilizing the 23, 25, and 27 mm Lotus valves)

**Primary Safety
Endpoint:**

Composite of all-cause mortality, stroke, life-threatening and major bleeding events, stage 2 or 3 acute kidney injury, or major vascular complications at 30 days

**Primary Efficacy
Endpoint:**

Composite of all-cause mortality, disabling stroke, or moderate or greater paravalvular aortic regurgitation (based on core lab assessment) at 1 year

**Secondary
Endpoint:**

Moderate or greater paravalvular aortic regurgitation (based on core lab assessment) at 1 year



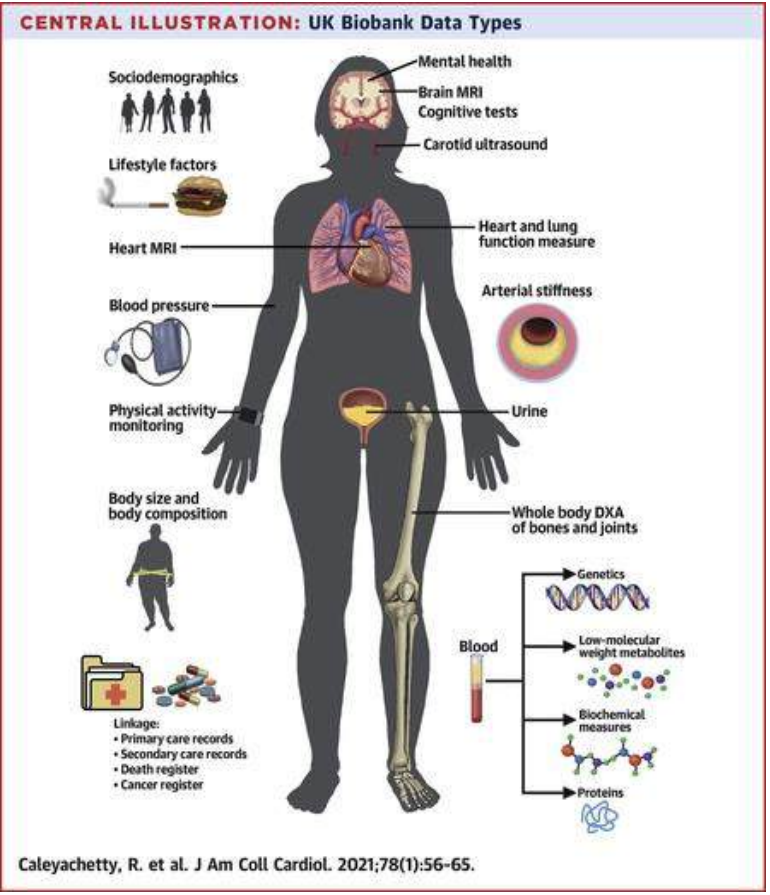
Up to 60 Centers
United States, Canada, Western Europe and Australia

A prospective, multicenter, 2:1 randomised (Lotus Valve System versus a commercially available CoreValve Transcatheter Aortic Valve Replacement System, controlled trial

A very large, population-based prospective study, established to allow detailed investigations of the genetic and nongenetic determinants of the diseases of middle and old age. 500k (100k imaging enhancement)

85,000

biobank^{uk}



Health Data as a Sovereign Asset

UK: Health Data Research Service to provide single point of access to NHS data in the United Kingdom

<https://www.gov.uk/government/news/prime-minister-turbocharges-medical-research>

GOV.UK

[Home](#) > [Business and industry](#) > [Industrial strategy](#)

Press release

Prime Minister turbocharges medical research

Better and faster access to NHS data for researchers, with gold standard security and privacy measures.

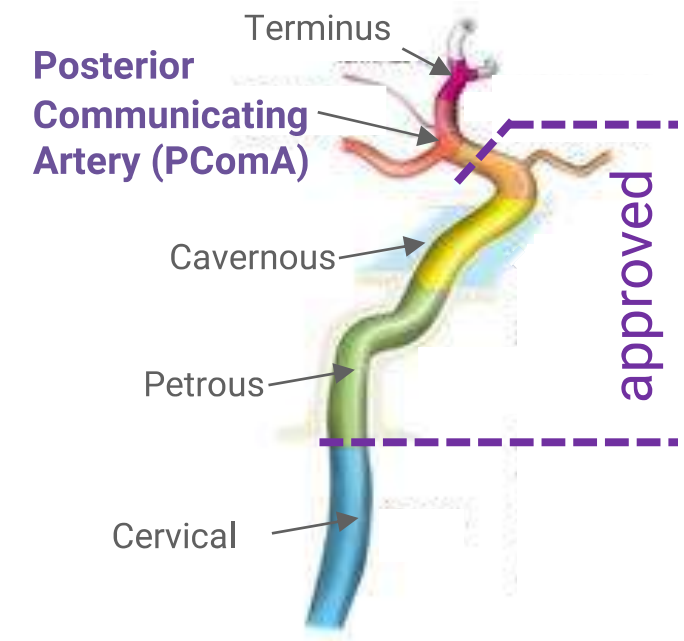
From: [Department of Health and Social Care](#), [Prime Minister's Office, 10 Downing Street](#), [Department for Science, Innovation and Technology](#), [Office for Life Sciences](#), [The Rt Hon Sir Keir Starmer KCB KC MP](#), [The Rt Hon Wes Streeting MP](#) and [The Rt Hon Peter Kyle MP](#)

Published 7 April 2025

Last updated 7 April 2025 — [See all updates](#)



- Latest in a series of pro-growth measures to build a strong, resilient economy with more well-paid jobs
- Changes will help make Britain the best country in the world for medical research driving growth that puts more money in people's pockets as part of the Plan for Change



Exemplar II

IN SILICO TRIAL FOR OFF-LABEL USE

INCREMENTAL INNOVATION / UNDERSERVED PATIENT GROUPS



Original research

Off-label in-silico flow diverter performance assessment in posterior communicating artery aneurysms

Michael MacRaid^{1,2,3}, Ali Sarrami-Foroushani^{1,4}, Shuang Song⁵, Qiongyao Liu⁵, Christopher Kelly⁵, Nishant Ravikumar⁵, Tufail Patankar⁶, Toni Lassila⁵, Zeike A Taylor^{5,7}, Alejandro F Frangi^{1,3,4,8,9}

► Additional supplemental material is published online only. To view, please visit the journal online (<https://doi.org/10.1136/jnis-2024-022000>).

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³Department of Computer Science, School of Engineering, University of Manchester, Manchester, UK

⁴School of Health Sciences, Division of Informatics, Imaging and Data Sciences, University of Manchester, Manchester, UK

⁵School of Computing, University of Leeds, Leeds, UK

⁶Interventional Neuroradiology, Leeds Teaching Hospitals NHS Trust, Leeds, UK

⁷School of Mechanical Engineering, University of Leeds, Leeds, UK

⁸Department of Cardiovascular Sciences, KU Leuven, Leuven, Belgium

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alejandro.frangi@manchester.ac.uk

Received 18 May 2024
Accepted 13 September 2024

ABSTRACT

Background The posterior communicating artery (PCoA) is among the most common intracranial aneurysm locations, but flow diverter (FD) treatment with the widely used pipeline embolization device (PED) remains an off-label treatment that is not well understood. PCoA aneurysm flow diversion is complicated by the presence of fetal posterior circulation (FPC), which has an estimated prevalence of 4–29% and is more common in people of black (11.5%) than white (4.9%) race. We present the FD-PCoA in-silico trial (IST) into FD treatment performance in PCoA aneurysms. ISTs use computational modeling and simulation in cohorts of virtual patients to evaluate medical device performance.

Methods We modeled FD treatment in 118 virtual patients with 59 distinct PCoA aneurysm anatomies, using computational fluid dynamics to assess post-treatment outcome. Boundary conditions were prescribed to model the effects of non-fetal and FPC, allowing for comparison between these subgroups.

Results FD-PCoA predicted reduced treatment success in FPC patients, with an average aneurysm space and time-averaged velocity reduction of 67.8% for non-fetal patients and 46.5% for fetal patients ($P < 0.001$). Space and time-averaged wall shear stress on the device surface was 29.2 Pa averaged across fetal patients and 23.5 Pa across non-fetal ($P < 0.05$) patients, suggesting FD endothelialization may be hindered in FPC patients. Morphological variables, such as the size and shape of the aneurysm and PCoA size, did not affect the treatment outcome.

Conclusions FD-PCoA had significantly lower treatment success rates in PCoA aneurysm patients with FPC. We suggest that FPC patients should be treated with an alternative to single PED flow diversion.

WHAT IS ALREADY KNOWN ON THIS TOPIC

⇒ Flow diverter (FD) treatment of posterior communicating artery (PCoA) aneurysms using the pipeline embolization device (PED) is an off-label indication with poorly understood low treatment success rates in patients with fetal posterior circulation (FPC).

WHAT THIS STUDY ADDS

⇒ The FD-PCoA in-silico trial used computer modeling and simulation in 118 virtual patients to determine that PED treatment of PCoA aneurysms was less effective in patients with FPC and was due to the increased flow rate through the PCoA in this scenario.
⇒ Morphological variables, such as PCoA size, aneurysm maximum diameter, aneurysm aspect ratio, aneurysm neck width, and aneurysm non-sphericity index did not influence treatment outcomes.

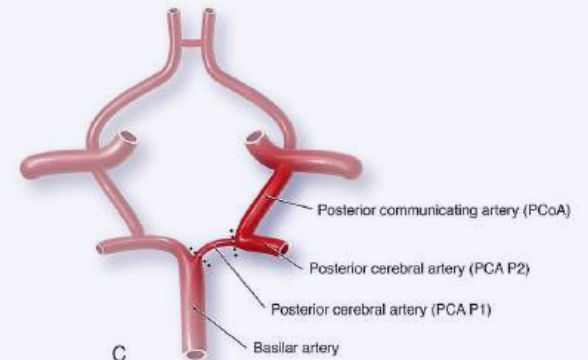
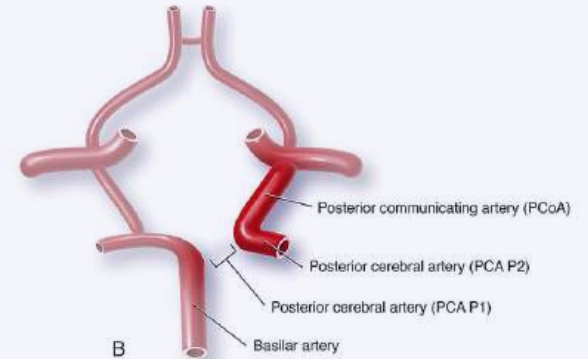
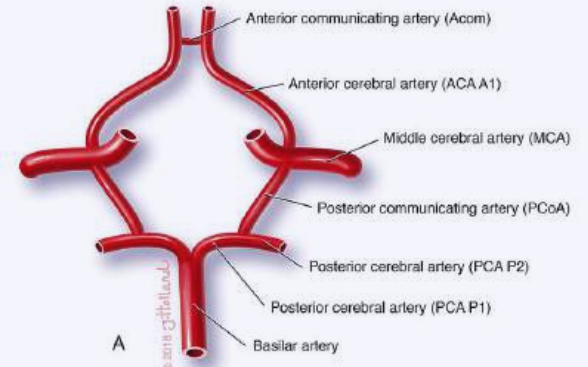
HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

⇒ Alternative treatment to single PED flow diversion is recommended for PCoA aneurysms with FPC.
⇒ This study highlights how in-silico trials can generate evidence on medical device performance in less studied treatment scenarios.

neointima along the device itself, ultimately leading to aneurysm occlusion. Neointimal proliferation can also lead to parent vessel remodelling, which led to concerns that the PED has the potential to occlude side branches.³ Studies since have consi-

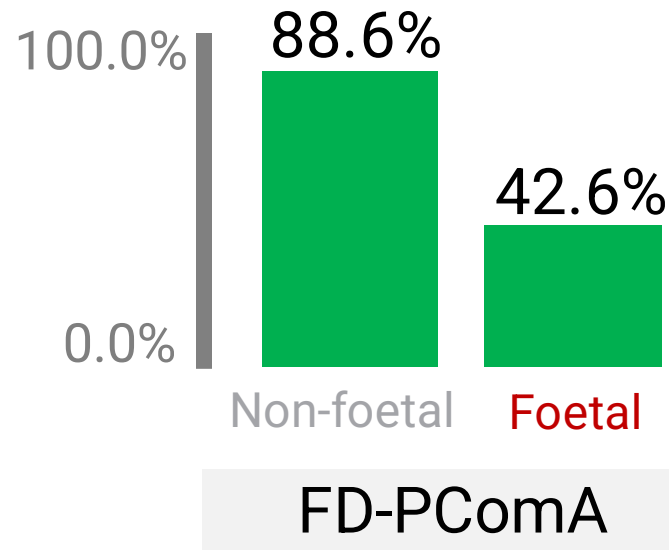
Protected by copyright, including for uses related to text and data mining, AI training, and similar technologies. Library.

J Neurointerv Surg: first published as 10.1136/jnis-2024-022000 on 31 October 2024. Downloaded from <http://jniss.bmj.com/> on March 10, 2025



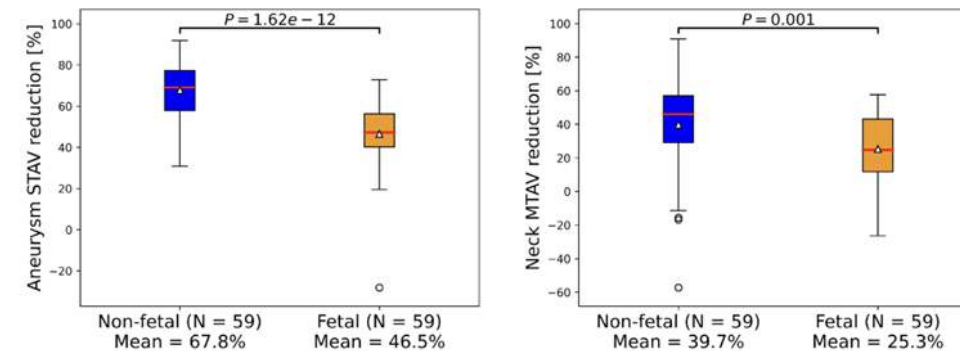
FD-PComA complete occlusion rates

Flow reduction & thus, the success rate is reduced in PComA aneurysms with foetal posterior circulation.

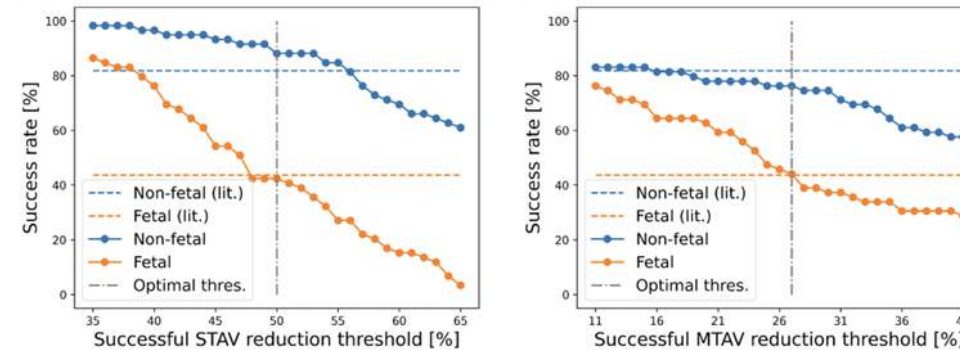


Flow reduction (STAV) > 50% threshold.

1. Flow reduction measures vs. non-fetal and fetal posterior circulation.



2. Non-fetal and fetal treatment success rates vs. flow reduction threshold defining success.



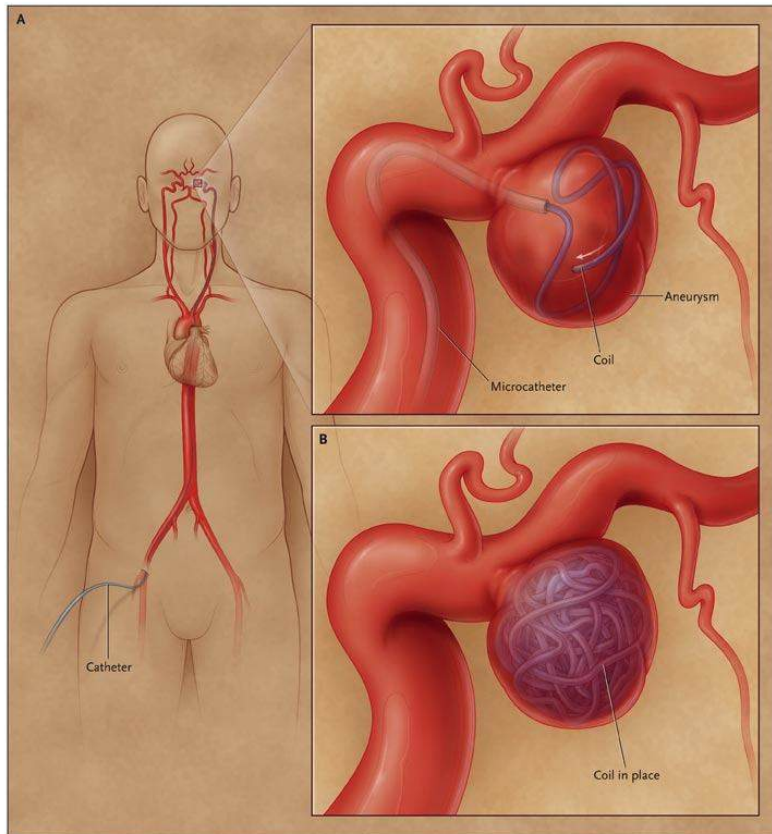
Flow reduction = space and time-averaged velocity (STAV) in the aneurysm sac.

Exemplar III

IN SILICO TREATMENT STRATIFICATION

OPERATOR INFLUENCE / COST EFFECTIVENESS
EMERGING BEHAVIOUR

Coiling: the basics



Packing density is defined as the ratio between the inserted coils and aneurysm volume.



[Penumbra Smart Coil Insertion](#)
[Generic Call Insertion \(short\)](#)

Morales HG, Kim M, Vivas EE, et al. How do coil configuration and packing density influence intra-aneurysmal hemodynamics?. AJNR Am J Neuroradiol. 2011;32(10):1935-1941. doi:10.3174/ajnr.A2635

ORIGINAL RESEARCH

H.G. Morales
M. Kim
E.E. Vivas
M.-C. Villa-Uriol
I. Larrabide
T. Sola
L. Guimaraens
A.F. Frangi

How Do Coil Configuration and Packing Density Influence Intra-Aneurysmal Hemodynamics?

BACKGROUND AND PURPOSE: Endovascular coiling is a well-established therapy for treating intracranial aneurysms. Nonetheless, postoperative hemodynamic changes induced by this therapy remain not fully understood. The purpose of this work is to assess the influence of coil configuration and packing density on intra-aneurysmal hemodynamics.

MATERIALS AND METHODS: Three 3D rotational angiography images of 3 intracranial aneurysms before and after endovascular coiling were used. For each aneurysm, a 3D representation of the vasculature was obtained after the segmentation of the images. Afterward, a virtual coiling technique was used to treat the aneurysm geometries with coil models. The aneurysms were coiled with 5 packing densities, and each was generated by using 3 coil configurations. Computational fluid dynamics analyses were carried out in both untreated and treated aneurysm geometries. Statistical tests were performed to evaluate the relative effect of coil configuration on local hemodynamics.

RESULTS: The intra-aneurysmal blood flow velocity and wall shear stress were diminished as packing density increased. Aneurysmal flow velocity was reduced >50% due to the first inserted coils (packing density <12%) but with a high dependency on coil configuration. Nonsignificant differences ($P > .01$) were found in the hemodynamics due to coil configuration for high packing densities (near 30%). A damping effect was observed on the intra-aneurysmal blood flow waveform after coiling.

CONCLUSIONS: Intra-aneurysmal hemodynamics are altered by coils. Coil configuration might reduce its influence on intra-aneurysmal hemodynamics as the packing density increases until an insignificant influence could be achieved for high packing densities.

ABBREVIATIONS: 3DRA = 3D rotational angiography; ANOVA = analysis of variance; CFD = computational fluid dynamics; DSA = digital subtraction angiography; WSS = wall shear stress

Intracranial aneurysms are balloon-like dilations of arteries often occurring at branching points in the circle of Willis. In most cases, these stay asymptomatic and are only diagnosed through medical imaging or after spontaneous rupture. Aneurysm rupture typically leads to subarachnoid hemorrhage producing rates of mortality of approximately 50%.¹ The existing therapeutic options seek isolating the aneurysm from the bloodstream and preventing its rupture. The most important therapies are surgical clipping and endovascular interventions,² such as coiling and stent placement.

Coiling has been the most popular endovascular option for the last 15 years.¹⁻³ This minimally invasive treatment consists of the placement of biocompatible metal alloy coils to block the blood flow into the aneurysm and to prevent rupture. Although coiling is associated to lower mortality and morbidity

rates compared with surgical clipping,² its outcome is not always predictable.¹⁻⁵ Packing density is thought to have a strong influence in postcoiling outcome, and usually the aneurysms are packed as dense as possible.⁶⁻⁸ Packing density is defined as the ratio between the inserted coils and aneurysm volume.

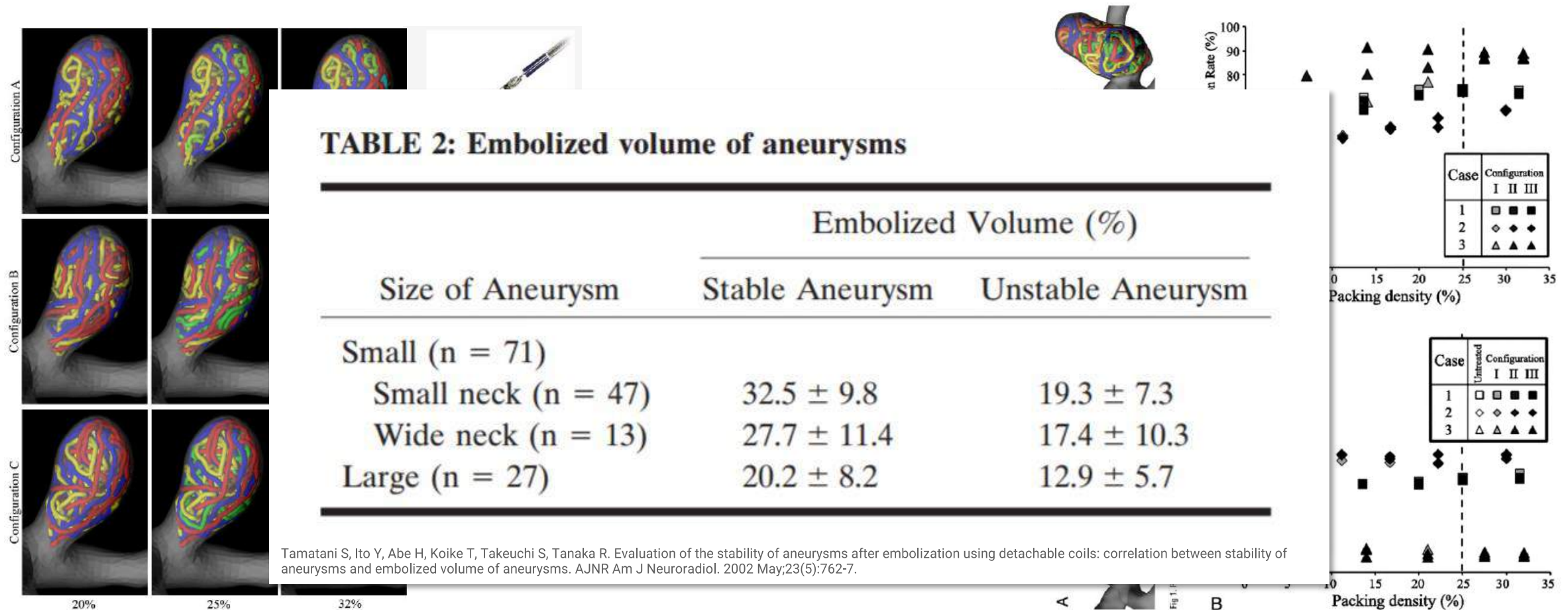
Moreover, the success of coiling is intimately related to the biologic responses to hemodynamics inside the aneurysm.⁹ Certainly, CFD is an effective and well-accepted technique to investigate intravascular hemodynamics in silico.¹⁰ However, the use of this technique in the presence of endovascular coils is still challenging, due to the geometric complexity of the devices, their small size (diameters of 0.010–0.015 inch),¹¹ and their unpredictable distribution inside the aneurysm.

To simulate the hemodynamics in coiled aneurysm geom-

INTERVENTIONAL
ORIGINAL RESEARCH

Morales HG, Kim M, Vivas EE, Villa-Uriol MC, Larrabide I, Sola T, Guimaraens L, Frangi AF. How do coil configuration and packing density influence intra-aneurysmal hemodynamics? *AJNR Am J Neuroradiol.* 2011 Nov-Dec;32(10):1935-41.

Coiling: *in silico* trials /device understanding



Tamatani S, Ito Y, Abe H, Koike T, Takeuchi S, Tanaka R. Evaluation of the stability of aneurysms after embolization using detachable coils: correlation between stability of aneurysms and embolized volume of aneurysms. AJNR Am J Neuroradiol. 2002 May;23(5):762-7.

Morales HG, Larrabide I, Geers AJ, San Roman L, Blasco J, Macho JM, Frangi AF. A virtual coiling technique for image-based aneurysm models by dynamic path planning. IEEE Trans Med Imaging. 2013 Jan;32(1):119-29.

Morales HG, Kim M, Vivas EE, Villa-Urriol MC, Larrabide I, Sola T, Guimaraens L, Frangi AF. How do coil configuration and packing density influence intra-aneurysmal hemodynamics? AJNR Am J Neuroradiol. 2011 Nov-Dec;32(10):1935-41.

A large iceberg floats in a calm, blue ocean under a clear sky. The iceberg's surface is textured with various ice formations and small holes. The water reflects the sky and the iceberg. The text is overlaid on the top half of the image, with three columns of text in semi-transparent boxes.

Better population coverage
Complex or Rare Diseases
Underserved communities
Pediatric applications
Combination therapies – co-simulation
Off-label or Foreseeable Misuse
Dose–Device Coupling
Virtual First-in-Body
Communicating RCT risk to patients
...

Fragile Physiology Zone
Pregnancy Protected Window
Comorbidity Jigsaw
Evidence for the Global South
Pre-test inclusion/exclusion
Incremental Equivalence Proof
Supply Chain Substitute Swap
Reprocessing & Reuse Validator
Operator Variability Reactor
...

Magnetic Environment Immunity
Extreme Physiology Checker
Recall Scope Optimiser
Recurrence Predictor Sandbox
Adaptive Arm Optimiser
Non-Inferiority Cushion Finder
Bespoke Manufactured Devices
Bioresorbable Horizon Scan
Clinician Learning Curve
...

The tip of the
iceberg

Why should regulators and industry get involved?

READY FOR PRIME TIME?

In Silico Medicine/Trials – Ready for prime time?

> Computational Modelling & Simulation, Model-Informed or In Silico Evidence in FDA



NEWS | IN DEPTH

ANIMAL RESEARCH

FDA no longer has to require animal testing for new drugs

Agency can rely on animal-free alternatives before human trials

By Meredith Wadman

New medicines need not be tested in animals to receive U.S. Food and Drug Administration (FDA) approval, according to legislation signed by President Joe Biden in late December 2022. The change—long sought by animal welfare organizations—could signal a major shift away from animal use after more than 80 years of drug safety regulation.

“This is huge,” says Tamara Drake, director of research and regulatory policy at the Center for a Humane Economy, a nonprofit animal welfare organization and key driver of the legislation. “It’s a win for industry. It’s a win for patients in need of cures.”

In place of the 1938 stipulation that potential drugs be tested for safety and

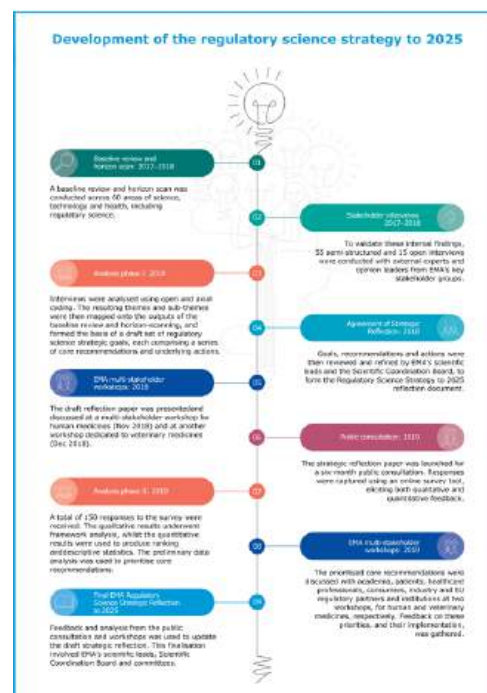
**"Model-informed [product] development is the way of the future [at FDA]! [...]
Modelling will become the leading science."**

— Food & Drug Administration, Dr Patrizia Cavazzoni

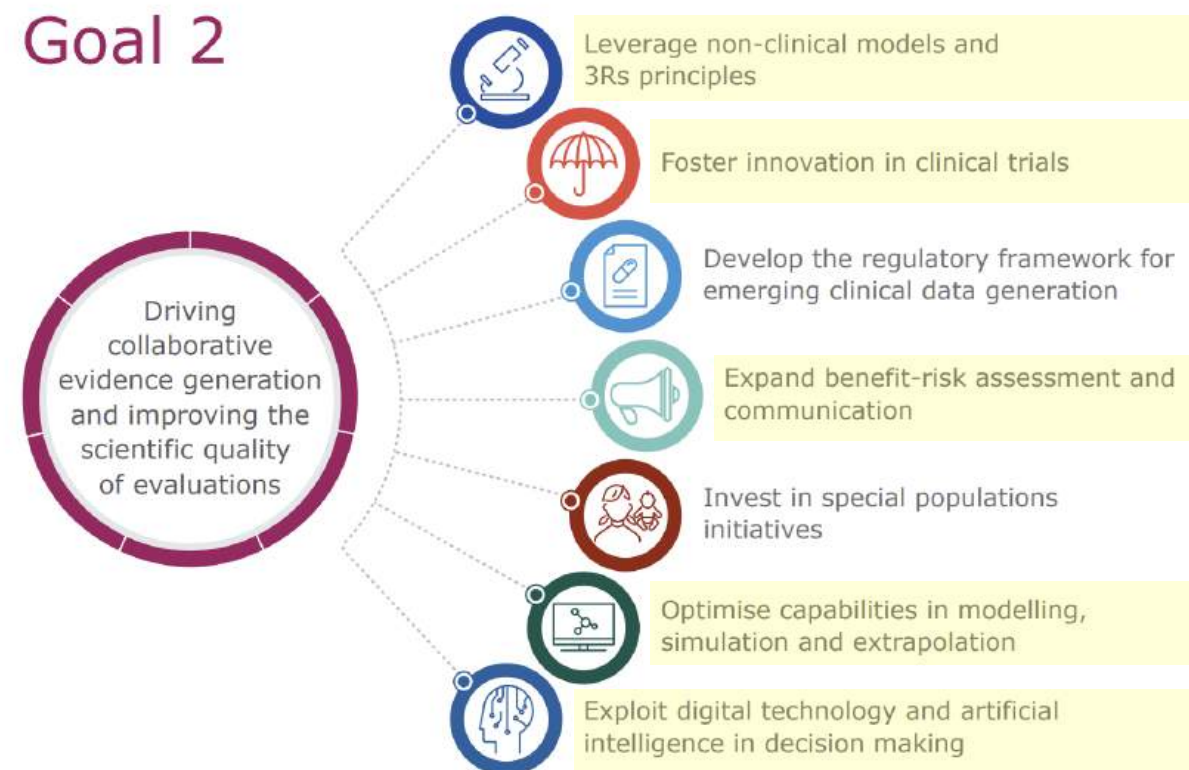
Wadman M. FDA no longer has to require animal testing for new drugs. *Science*. 2023 Jan 13;379(6628):127-128.

In Silico Medicine/Trials – Ready for prime time?

> Modelling & Simulation, 3Rs, ... in EMA



Goal 2



Hines PA, Gonzalez-Quevedo R, Lambert AIOM, Janssens R, Freischem B, Torren Edo J, Claassen IJTM, Humphreys AJ. Regulatory Science to 2025: An Analysis of Stakeholder Responses to the European Medicines Agency's Strategy. Front Med (Lausanne). 2020 Sep 23;7:508.

“In Silico Trials should be at the core of the EMA 2025 strategy.”

— Efthymios Manolis, Scientific Advise Office, European Medicines Agency.

<https://www.ema.europa.eu/en/about-us/how-we-work/regulatory-science-strategy>

In Silico Medicine/Trials – Ready for prime time?

> Clinical Evidence, Modelling & Simulation, ... in TGA



Australian Government
Department of Health
Therapeutic Goods Administration



Example – Adaptable medical device

Louise is a dentist and clinical expert for a manufacturer of temporary stainless-steel crowns that are used as an interim measure whilst patients await fitting of patient-matched dental crowns. One new device is a mass-produced stainless steel molar crown that comes in a range of sizes. Dentists are required to trim the edges with crown scissors and "crimp" the device with crimping pliers for the individual patient. The device is then cemented to the tooth.

The IFU contains detailed instructions for acceptable point-of-care manipulation of the subject device with respect to sizing. The greatest extent of trimming and crimping supported by the IFU are considered worst-case scenarios (justified through computer modelling).

Louise has conducted a state-of-the-art literature review and from the literature is satisfied that temporary stainless steel and acrylic resin dental crowns are the accepted standard of care when patients are awaiting a more permanent, patient-matched crown.



Clinical evidence guidelines for medical devices

Version 3.2, November 2023

www.tga.gov.au/resources/guidance/clinical-evidence-guidelines-medical-devices

Model-Informed Evidence: Credibility Principles?

Quality Analysis Principles for Analytical Models



The Aqua Book:

guidance on producing quality
analysis for government

March 2015

1.10 No single piece of guidance can provide a route to a definitive assessment of whether a piece of analysis is of sufficient quality for an intended purpose. However, the Aqua Book sets out the following principles of analytical quality assurance that will help to support commissioning and delivery of fit-for-purpose analysis:

- **Proportionality of response:** The extent of the analytical quality assurance effort should be proportionate in response to the risks associated with the intended use of the analysis. These risks include financial, legal, operational and reputational impacts. In addition, analysis that is frequently used to support a decision-making process may require a more comprehensive analytical quality assurance response.
- **Assurance throughout development:** Quality assurance considerations should be taken into account throughout the life cycle of the analysis and not just at the end. Effective communication is crucial when understanding the problem, designing the analytical approach, conducting the analysis and relaying the outputs.
- **Verification and validation:** Analytical quality assurance is more than checking that the analysis is error-free and satisfies its specification (verification). It must also include checks that the analysis is appropriate, i.e. fit for the purpose for which it is being used (validation).
- **Analysis with RIGOUR:** Quality analysis needs to be repeatable, independent, grounded in reality, objective, have understood and managed uncertainty, and the results should address the initial question robustly. In particular, it is important to accept that uncertainty is inherent within the inputs and outputs of any piece of analysis. It is important to establish how much we can rely upon the analysis for a given problem.

Quality = RIGOUR:

Repeatable,
Independent,
Grounded in reality,
Objective,
understood and managed
Uncertainty,
address the initial question
Robustly.

AQuA Handbook - <https://www.gov.uk/government/publications/the-aqua-book-guidance-on-producing-quality-analysis-for-government>

PAGIT Framework

Proportionate and Adaptive Governance of Innovative Technologies



Executive Summary

Proportionate and adaptive governance of innovative technologies

The role of regulations, guidelines and standards

Joyce Tait and Geoffrey Banda
Innogen Institute, University of Edinburgh

Figure 1 – Framework for proportionate and adaptive governance of innovative technologies

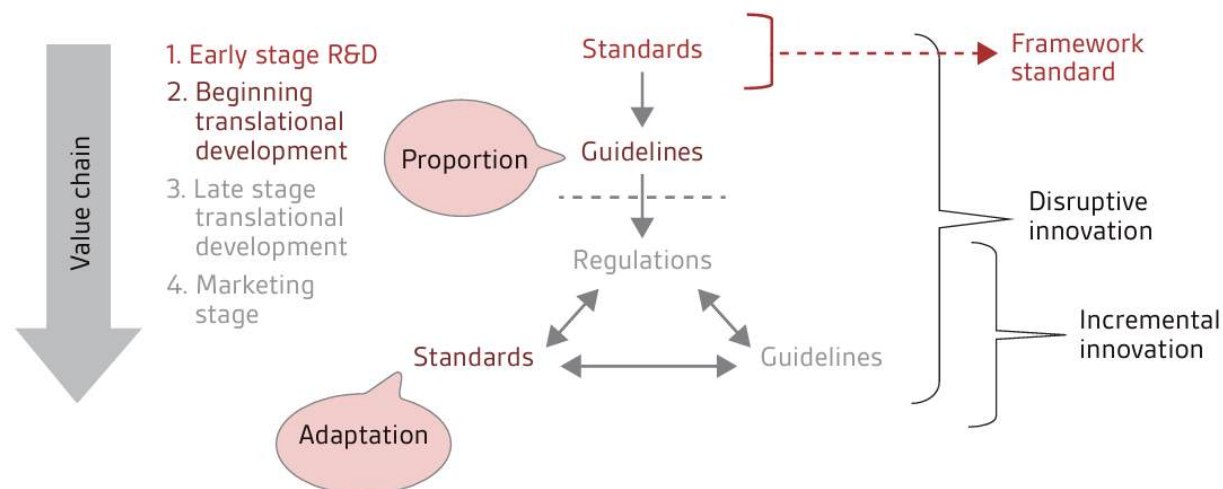


Table 1 – Differences between standards and regulations/guidelines

Standards	Regulations/Guidelines
Based on recommendations	Based on legislation
Adoption is usually voluntary	Adoption is mandatory for regulations and potentially so for guidelines (soft law)
Established by consensus of all parties concerned, including relevant industry sectors	Developed by a regulatory authority, usually involving consultation
Based on consolidated results of science, technology and experience	Guidelines provide technical specifications either directly or by reference, e.g. to standards
Approved and published by recognized standardization body	Adopted by a legal authority
Oversight by independent third party certification	Oversight by formal government-appointed regulatory bodies

See also Allen and Sriram, (2000), Langlois and Savage (2001)²

Table 2 – Relative advantages of standards and regulations/guidelines

Advantages of standards	Advantages of regulations/guidelines
Standards can act as infrastructures for coordination; a common language for interoperability and compatibility	Regulations have the force of law, and compliance is compulsory and enforceable
Standards as routines (usually internal standards) can govern behaviour required for certain activities/routines	Easier to diffuse through inter-country, regional or international treaties and conventions
Standards as technology can reduce variety and enhance economies of scale thereby reducing transaction costs	Regulations are prescriptive, and sometimes are linked to specific guidelines and/or standards which, if adhered to, constitute compliance
Standards can be an innovation to achieve market dominance	

See also Allen and Sriram, (2000), Langlois and Savage (2001)²

Tait, J., Banda, G., Watkins, A.: Proportionate and Adaptive Governance of Innovative Technologies (PAGIT): A Framework to Guide Policy and Regulatory Decision Making, Innogen Institute Report to the British Standards Institution. (2017). <https://www.innogen.ac.uk/reports/1222>.

Openness to Computational Modeling & Simulation for regulatory approval: a global initiative



Avicenna Alliance
Association for Data Driven Medicine

Health Canada, in favor of adopting procedures similar to those accepted by the FDA; open to the use of numerical methods and accept Digital Evidence.

US FDA, the first to adopt and regulate in silico methods (Guidance 2016). Many cardiovascular device submissions include simulation results.

Brazil ANVISA, encourages the investigation of digital methods and legislates in this direction (session in the Brazilian Parliament on October 4, 2023).

UK MHRA, very dynamic for the validation and use of numerical methods. Actively promoting this discussion.

Germany TuV SuD, This Notified Body has the expertise and understanding of simulation results that they often receive. During approval process

China NMPA, Authorities very engaged in information, evaluation and training of their staff for numerical methods.

Avicenna Documents available in Chinese

Japan PMDA, Evaluation of numerical methods and their verification. Networking with key players.

Avicenna Documents available in Japanese

South Korea KFDA, Develop a strong expertise in numerical methods within their staffs.

Avicenna Documents available in Korean

Saudi Arabia SFDA, Multiplies international meetings to inform and train their staff. Open to simulation results.

Australia TGA, Collects information related to numerical methods; cautious opening for Digital Evidence in the context of submissions.

Cross-sector Co-creation In Practice

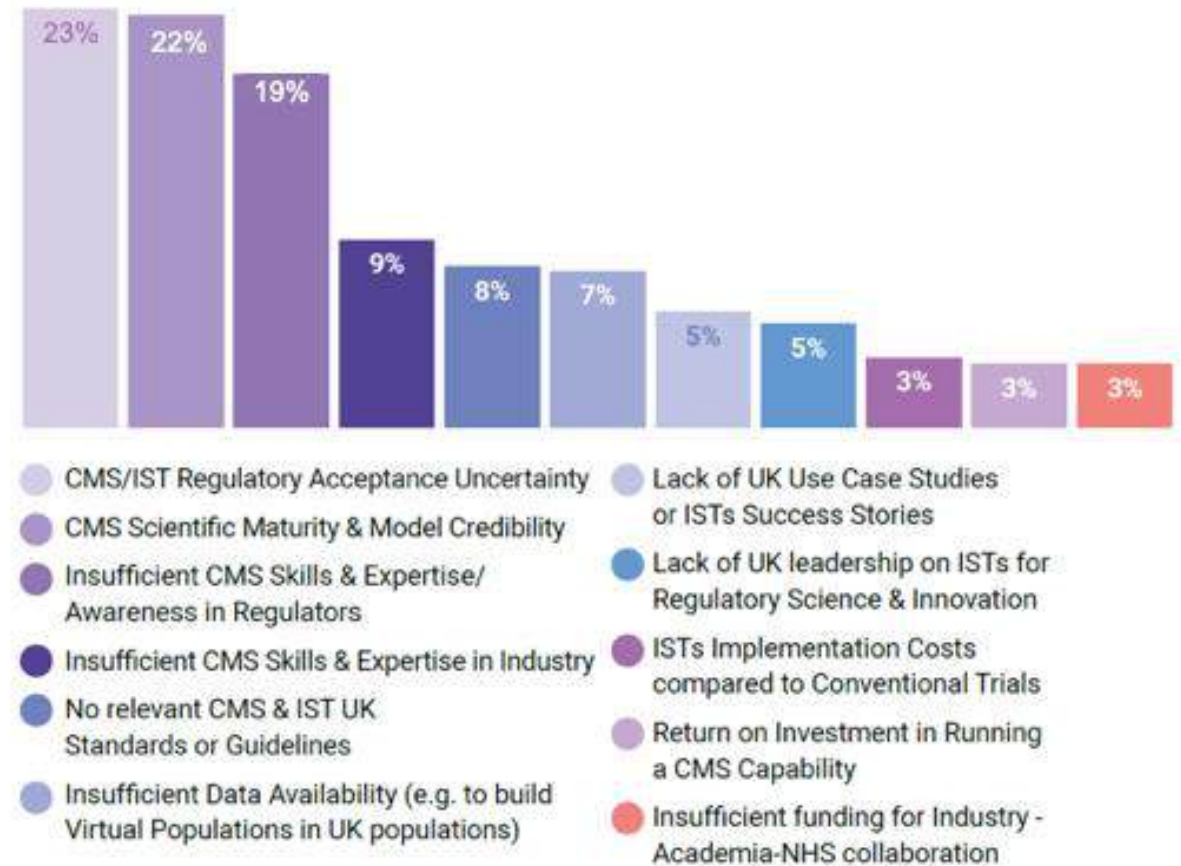
IN SILICO REGULATORY AIRLOCK

Mapping Barriers



Frangi, A., Denison, T., Myles, P., Ordish, J., Brown, P., Turpin, R., Kipping, M., Palmer, M., Flynn, D., Afshari, P., Lane, C., de Cunha, M., Horner, M., Levine, S., Marchal, T., Bryan, R., Tunbridge, G., Pink, J., Macpherson, S., ... Thompson, M. (2023). Unlocking the power of computational modelling and simulation across the product lifecycle in life sciences: A UK Landscape Report. InSilicoUK Pro-Innovation Regulations Network.
<https://doi.org/10.5281/zenodo.8325274>

What do you perceive to be the top 5 barriers for *in silico* trial adoption as a source of regulatory evidence?



Adapted from a presentation by Prof AF Frangi at the InSilicoUK Innovation Network Launch Event,**
 InSilicoUK Community Survey #1: Enablers and Barriers.

UK CEiRSI Community of Interest

Medical Devices & Pharmaceutical Companies



CEiRSI

Centre of Excellence on
in-silico Regulatory
Science & Innovation

Supply Chain



Catalysts



Capital



Consultant



Funders, Charities & Academies



Clinical Needs, National Data Controllers & Providers



Research Organisations & Infrastructures



Membership Organisations



Policymakers, Standardisation, Regulators & Health System



In-Silico Regulatory Airlock Initiative

We focus on applying and evaluating the strengths and limitations of existing **international model credibility frameworks**.

Why do we need a Regulatory Airlock Initiative?

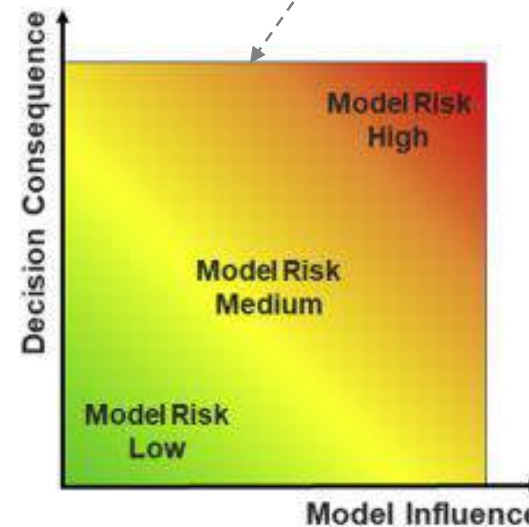
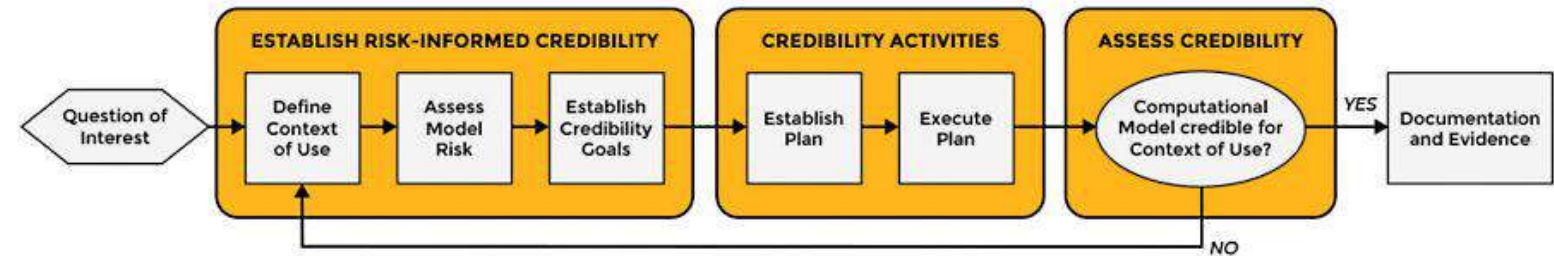
- Double-derisking: managing change in regulators and industry
- Problem-based learning boosts awareness, confidence & competence
- Precompetitive co-creation builds trust among stakeholders
- Collaborative learning enhances engagement and learning
- Focused primarily on early regulatory impacts
- Consider broader socio-technical questions

Pilot Convenors	#	Theme
 Resmed	1	CPAP Mask Evaluation: CO2 Venting and Rebreathing Performance Using In-Silico Techniques for Regulatory Compliance
 stryker	2	Flow-Diverter Device: Pre/Post Implantation Intracranial Aneurysm Blood Flow & Clotting Models for In-Silico Trials
 Boston Scientific	3	Transcatheter Aortic Valve Implantation: Conditional Generative Virtual Populations of Heart Anatomy for Targeted In-Silico Trials
 Edwards	4	Transcatheter Aortic Valve Implantation: Frame Release and Pre/Post Implantation Blood Flow Models Predicting Aortic Stresses and Valve Leakage for In-Silico Trials
 AMBER THERAPEUTICS	5	Deep Brain Stimulation: Closed-Loop Physiological Bidirectional Control System Model for Early Safety/Performance Assessment
 DePuy Synthes	6	Spinal Pedicle Screw System: In-Silico Bench Testing for Confirming System Integrity after Introduction of a Cannulation
 MatOrtho	7	Total Hip-Joint Replacement: Computational Simulator for Patient-Centered Wear Testing of Orthopaedic Device
 ZIMMER BIOMET Moving You Forward™	8	Total Knee Replacement Device: Augmentation of National Joint Registry with Data Derived from In-Silico Trial Insights
 GSK	9	Vaccines: Quantitative systems pharmacology (QSP) computational models for finding optimal dosing regimens
 SIEMENS Healthineers	10	Radiotherapy treatment system: in-device integration of treatment data and models of radiobiology for optimised radiotherapy performance

Core Approach to CM&S Evidence & Model Credibility

A Risk-informed Validation, Verification & Uncertainty Quantification Framework

Establishes that model credibility should be commensurate with the risk associated with a decision based, in part, on the computational model.



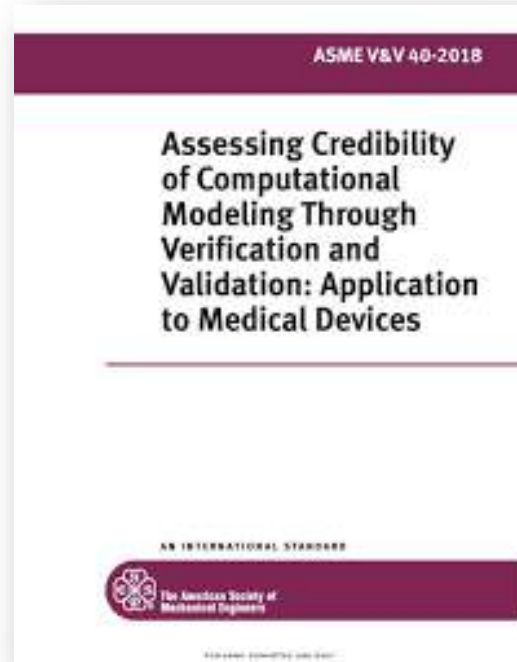
Activities		Credibility Factors
Verification	Code	Software quality assurance
	Calculation	Numerical code verification Discretization error Numerical solver error Use error
Validation	Computational model	Model form Model inputs
	Comparator	Test samples Test conditions
	Assessment	Equivalency of input parameters Output comparison
Applicability		Relevance of the quantities of interest
		Relevance of the validation activities to the COU



Viceconti M, Pappalardo F, Rodriguez B, Horner M, Bischoff J, Musuamba F. In silico trials: Verification, validation and uncertainty quantification of predictive models used in the regulatory evaluation of biomedical products. Methods. 2021 Jan;185:120-127.

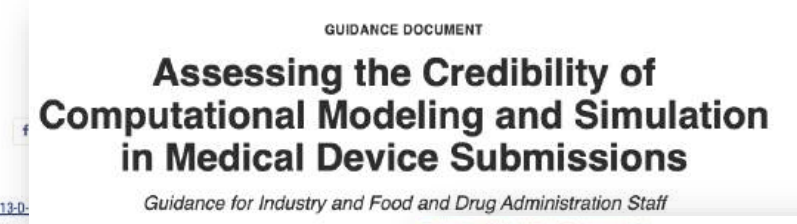
Viceconti M, Emili L, Afshari P, Courcelles E, Curreli C, Famaey N, Geris L, Horner M, Jori MC, Kulesza A, Loewe A, Neidlin M, Reiterer M, Rousseau CF, Russo G, Sonntag SJ, Voisin EM, Pappalardo F. Possible Contexts of Use for In Silico Trials Methodologies: A Consensus-Based Review. IEEE J Biomed Health Inform. 2021 Oct;25(10):3977-3982.

Standards and Guidance to industry and regulatory staff



From 2018...

Jul/Nov 2023



Docket Number: [FDA-2013-D-0980](#)
 Issued by: Center for Devices and Radiological Health

For many years, computational modeling and simulation (CM&S) have been used by sponsors to support device submissions. These dynamics (e.g., calculate stress distributions, determine maximum stress, radiofrequency safety in spectroscopy devices, ultrasonic therapeutic ultrasound), radiofrequency and laser safety, and provide recommendations for device design. This guidance document provides the FDA's regulatory framework for credibility assessment of computational modeling and simulation (CM&S) studies used in medical device regulatory submissions. This guidance document provides the FDA's regulatory framework for credibility assessment of computational modeling and simulation (CM&S) studies used in medical device regulatory submissions.

Docket Number: [FDA-2021-D-0980](#)
 Issued by: Center for Devices and Radiological Health

This guidance document provides the FDA's regulatory framework for credibility assessment of computational modeling and simulation (CM&S) studies used in medical device regulatory submissions. This guidance document provides the FDA's regulatory framework for credibility assessment of computational modeling and simulation (CM&S) studies used in medical device regulatory submissions.



Docket Number: [FDA-2013-D-1278](#)
 Issued by: Center for Devices and Radiological Health

This guidance describes a voluntary program for the qualification of medical device development tools (MDDTs) for use in the evaluation of devices regulated by CDRH. Specifically, this guidance describes the framework for voluntary proposal and qualification of an MDDT, including definitions of applicable terms, criteria for evaluating an MDDT for a specific context of use, considerations for qualification, and the contents of a qualification package. CDRH believes that MDDTs will facilitate the development and timely evaluation of medical devices by providing a more efficient and predictable means for collecting information to support regulatory submissions and associated decision-making.

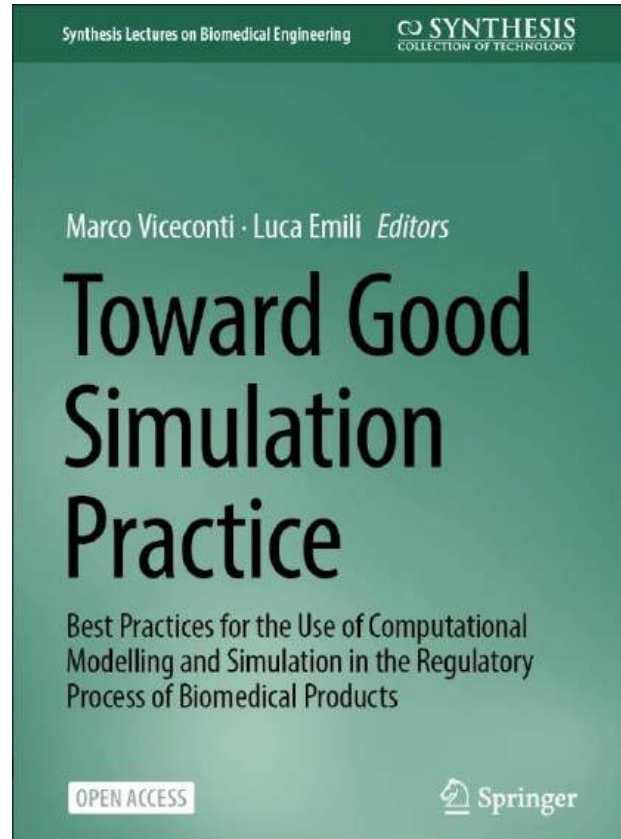


ASME V&V 40
 Verification and Validation

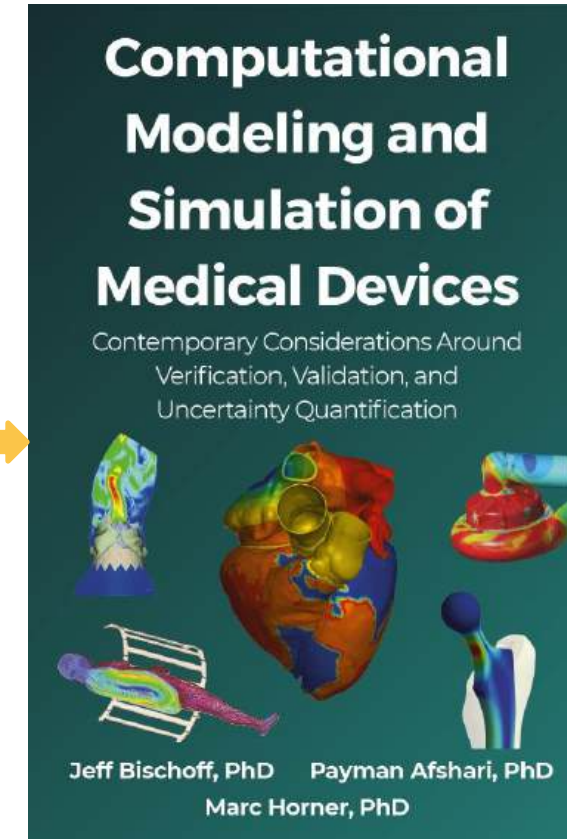
V&V Standards Committee on Verification and Validation in Modeling and Simulation

Best Practices – from practitioners

Led by:



Published Feb 2024
Open Access



Expected Publication date: Jan 2026
Open Access

Model-Informed Evidence (MIE)

Position Paper

- **We are striving to launch an In-Silico Regulatory Airlock Initiative.**
- This initiative will involve a collaborative learning process that engages all stakeholders and taps into their expertise.
- Our ultimate aim is to present the MHRA, NICE, and HRA with preliminary guidance on the Use of MIE in Preclinical and Clinical Studies to support Regulatory Decisions.
- This guidance will be underpinned by the analysis of 10 realistic case studies or in-silico regulatory Pilots.

Additionally, we will deliver a host of activities and reports that cover technical and socio-technical regulatory considerations and a sustainability plan to scope and prioritise and continue the work of the UK CEiRSI beyond 12 months

Position Paper

Principles on the Use of Model-Informed Evidence in Pre-clinical and Clinical Studies to Support Regulatory Decisions.

Contents

1. Scope
2. Introduction to the MIE Position Paper
3. Quality Principles of Data Informing Reliable Computational Models
4. Credibility Principles for Trustworthy In-Silico Models and Evidence
5. Advice

1. Scope

This document provides an introduction to the UK CEiRSI's model-informed evidence (MIE) guideline series, and points to consider when evaluating whether a MIE is of sufficient quality for the intended use.

2. Introduction to UK CEiRSI MIE guidelines

Model-Informed Evidence (MIE) is a novel approach to produce digital evidence supporting regulatory decision-making that leverages Computational Modelling and Simulation (CMS) to generate data that reduces, refines, and potentially replaces other forms of pre-clinical testing and that, alongside clinical evidence, can complement and expand the understanding on safety and performance of medical products.

This method, frequently referred to as in silico testing or in silico trialing, marks a significant shift from traditional experimental methods, including animal testing, towards more mechanistic, computational techniques that, combined with real-world data (RWD), can go beyond the use of real-world evidence (RWE). MIE encompasses a wide range of applications from drug development to the regulatory assessment of medical devices, offering a more efficient, ethical, and cost-effective means of evaluating the safety and efficacy of medical products.

The use of MIE in regulatory contexts is supported by a growing body of international efforts aimed at developing and harmonizing guidelines for CMS applications. This includes initiatives by regulatory bodies such as the

OUTLOOK AND CONCLUSIONS

Outlook

- Medical product innovation and regulatory processes – at an inflexion point
- CM&S: essential new ingredient well positioned in current regulatory pathways
- Both a technical and a cultural transformation – where to start?
 - Focus on how in silico studies enhance the type of evidence regulators currently receive and how evidence gaps (e.g., in paediatrics, rare diseases, combination devices, etc.) can be filled
- Transformation is already taking place, needing cross-stakeholder engagement.
- Catalysing uptake relies on the pull from regulators and push from the industry
- Work alongside patients – they expect better regulatory pathways.

Discussion

1. Integration of In Silico Models

- **Question:** How do you envision integrating in silico models with existing clinical trial protocols, and what specific challenges do you foresee in aligning model outputs with clinical endpoints?

2. Data Requirements and Sources

- **Question:** What types of clinical data are currently underutilised in your trials that could enhance the predictive accuracy of in silico simulations, and how can we ensure these data sources are adequately captured and validated?

3. Regulatory Considerations

- **Question:** What regulatory hurdles do you anticipate for the acceptance of in silico trials as part of the clinical development process, and what methodological innovations do you believe are necessary to address these concerns effectively?

4. Adaptive Trial Design

- **Question:** How can we incorporate adaptive trial design principles into in silico trials to enhance flexibility and efficiency in responding to emerging data, and what specific methodological frameworks do you think are necessary to support this integration?

Contact us



Medical
Research
Council



Innovate
UK



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<https://www.linkedin.com/showcase/ukceirsi>



<https://insilicouk.podbean.com>



<https://www.youtube.com/@UK-CEiRSI>



CEiRSI Centre of Excellence on in-silico
Regulatory Science & Innovation



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